



EMSA Monitoring of Recognised Organisations

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EMSA Monitoring / Inspection of ROs

Overview of legislation

- The main EU legislation that deals with recognised organisations is Regulation (EC) No 391/2009 and Directive 2009/15/EC

EMSA Monitoring / Inspection of ROs

Regulation (EC) 391/2009

Inspection process

Resources / output

EMSA Monitoring / Inspection of ROs

Inspections of ROs - Reg.391/2009

- Commission assesses each of the EU recognised organisations (ROs) once every two years
- EMSA has been entrusted by the Commission with the task of carrying out the necessary inspections on its behalf (Recital (22) of Reg.391/2009)

Regulation (EC) 391/2009

OBJECTIVE

- Establish rules and standards to be followed by the **organisations** entrusted with the inspection, survey and certification of ships

Regulation (EC) 391/2009

Ships

- Falling within the scope of the international conventions

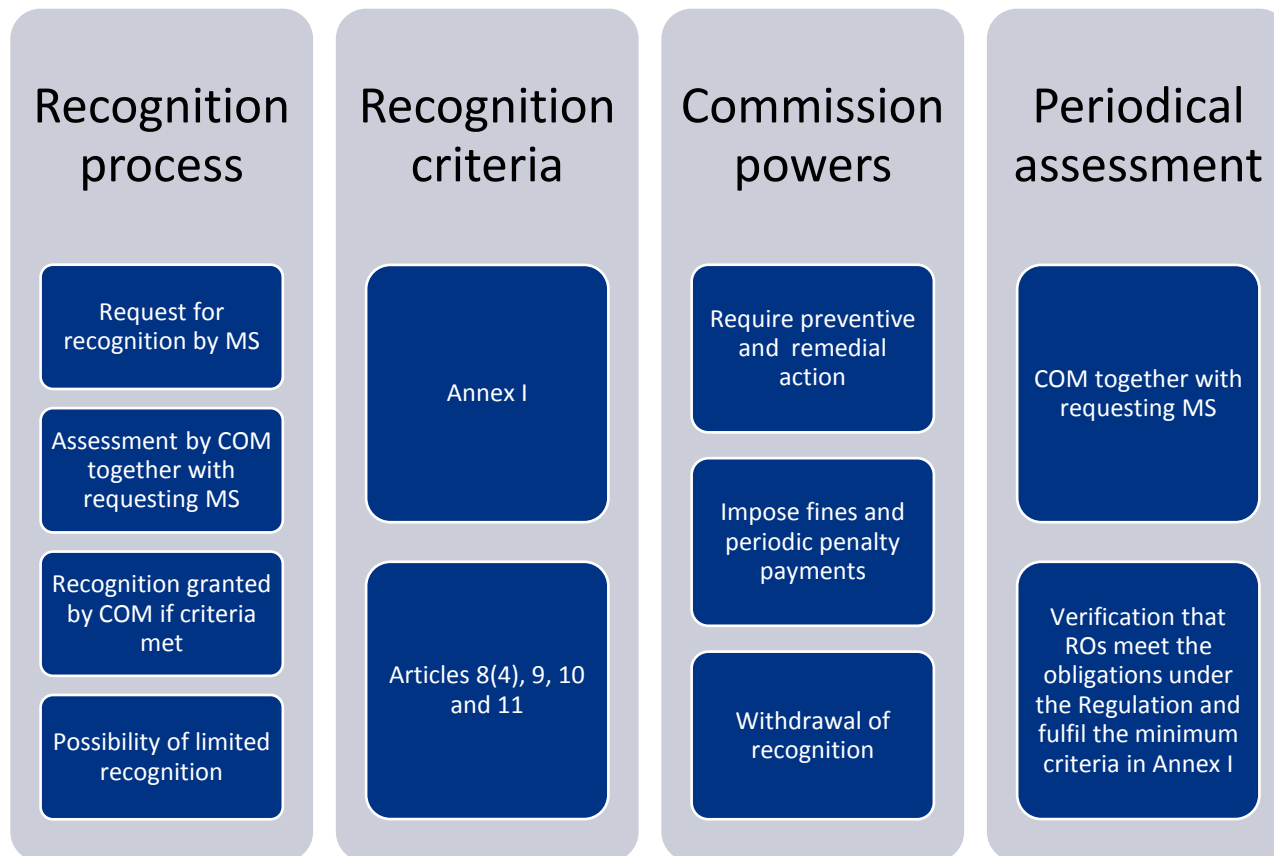
International conventions

- SOLAS
- MARPOL
- LOAD LINES
- Related Codes of mandatory status (e.g. ISM Code, FSS Code, etc.)

Inspections and surveys

- Mandatory under international conventions

Regulation (EC) 391/2009



Regulation (EC) 391/2009

Article 11

QUALITY ASSESSMENT AND CERTIFICATION ENTITY

- Founded in 24 November 2010

Tasks

- Assessment of ROs QMS in accordance with ISO 9001
- Certification of ROs QMS
- Issue of interpretations of QM Standards
- Recommendations for the improvement of ROs processes and internal control mechanisms

COM assessment

- The quality assessment and certification entity shall be periodically assessed by the Commission

Regulation (EC) 391/2009

R E Q U I R E M E N T S

Administrative

Experience

Independence,
Impartiality
and Integrity

Organisation
and
Management

Rules

Cooperation

Information

Transfer of
Class

Quality System

Personnel

Compliance

Regulation (EC) 391/2009

ADMINISTRATIVE

Crit. A.1

- Legal personality
- Certified accounts

Regulation (EC) 391/2009

INDEPENDENCE, IMPARTIALITY AND
INTEGRITY

Crit. A.6

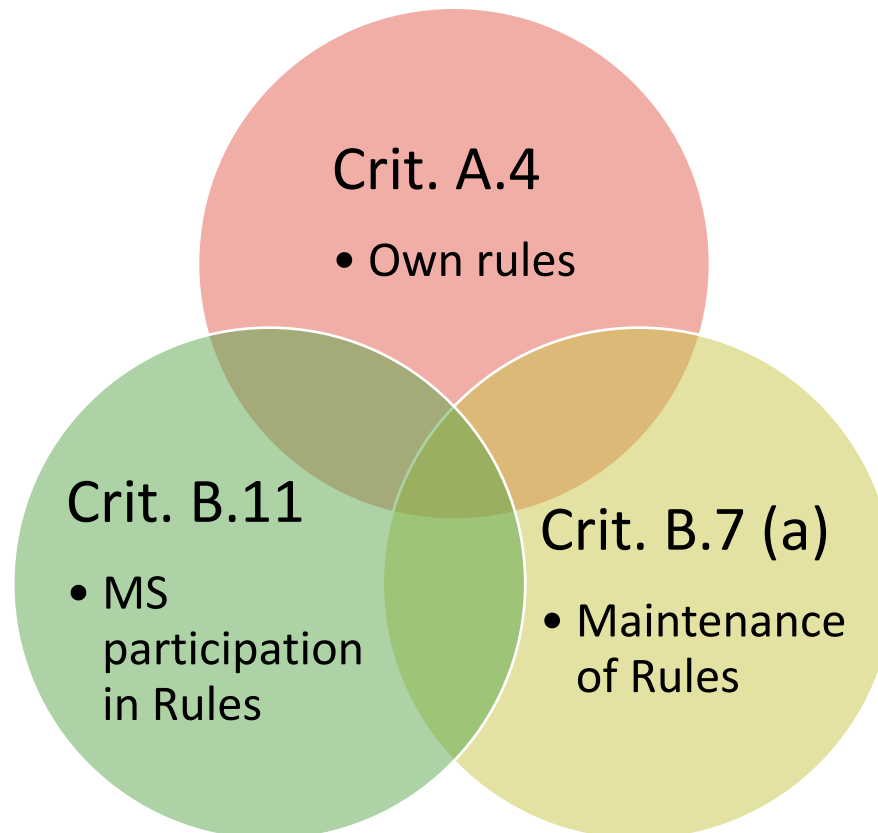
- Governance
- Business, personal or family links

Crit. B.2

- Code of Ethics

Regulation (EC) 391/2009

RULES



Regulation (EC) 391/2009

COOPERATION

Art. 10.3

- Cooperation with PSC

Art. 10.6

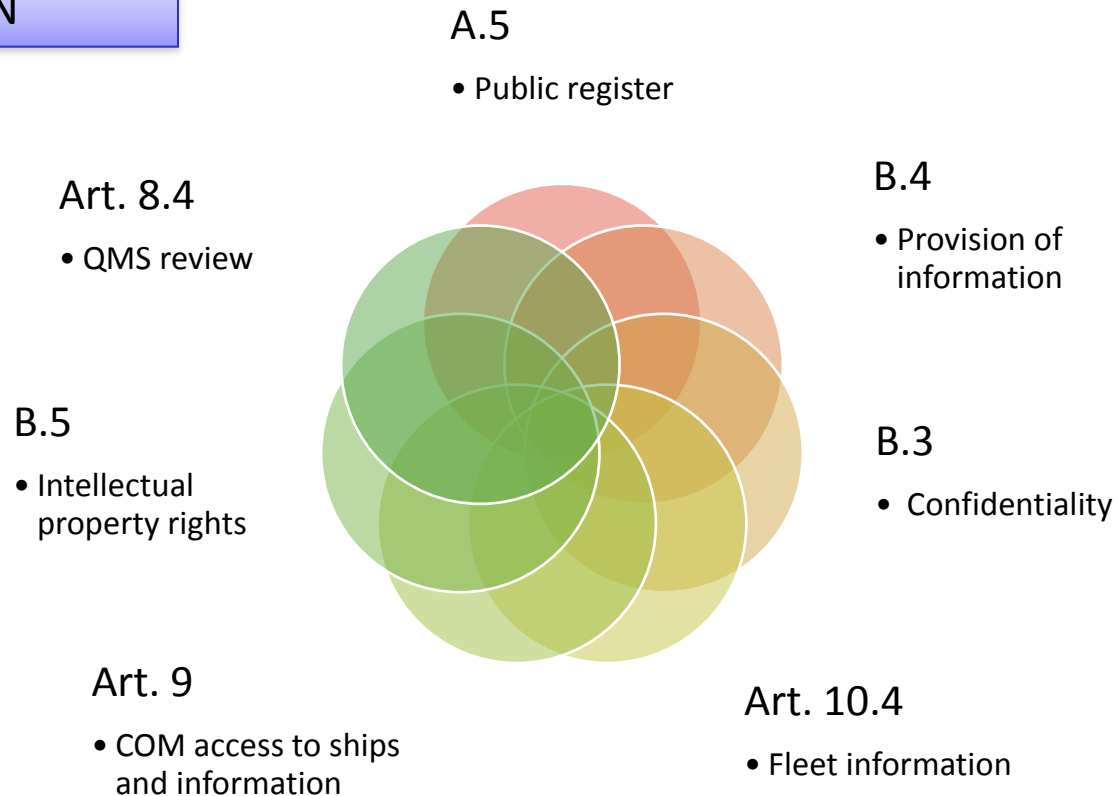
- Cooperation in TOC (Transfer of Class)

Art. 10.1

- Harmonisation of rules and procedures (between ROs)

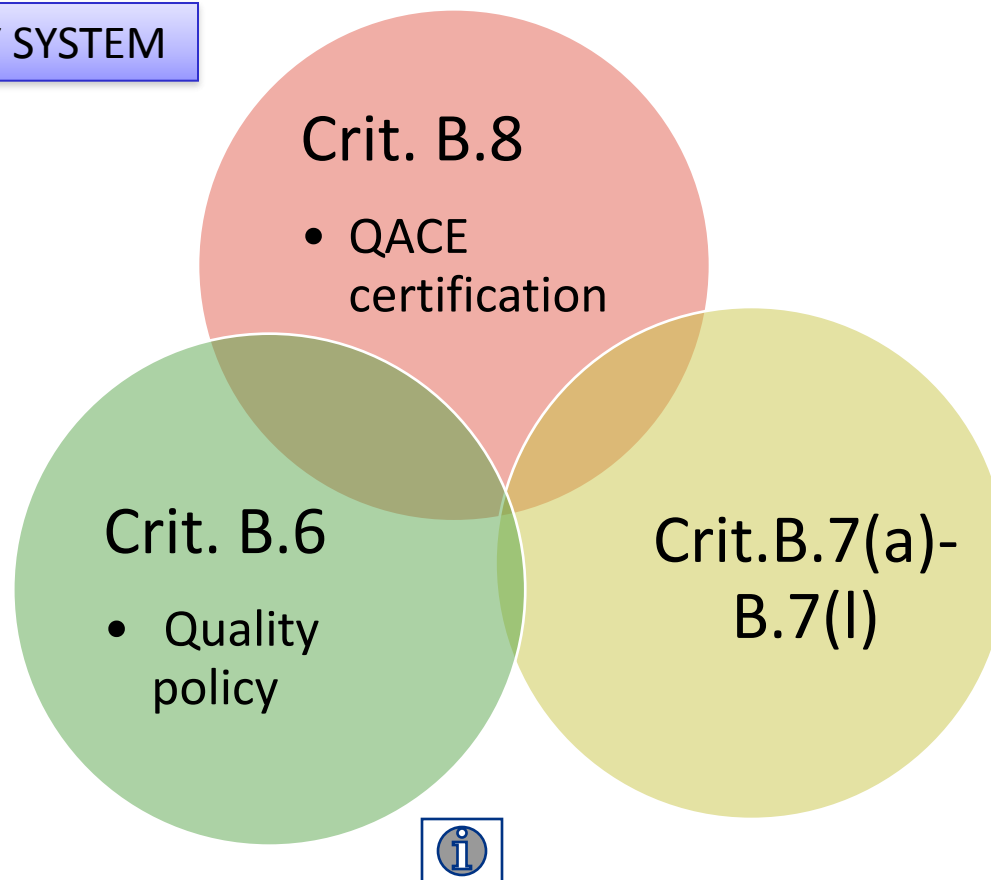
Regulation (EC) 391/2009

INFORMATION



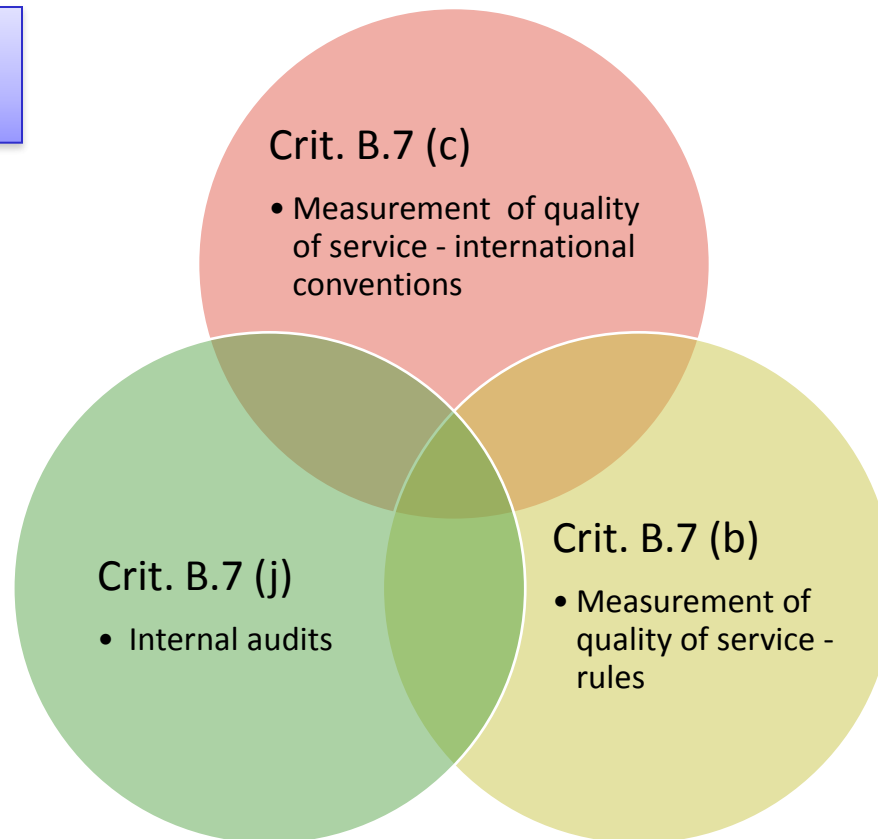
Regulation (EC) 391/2009

CERTIFIED QUALITY SYSTEM



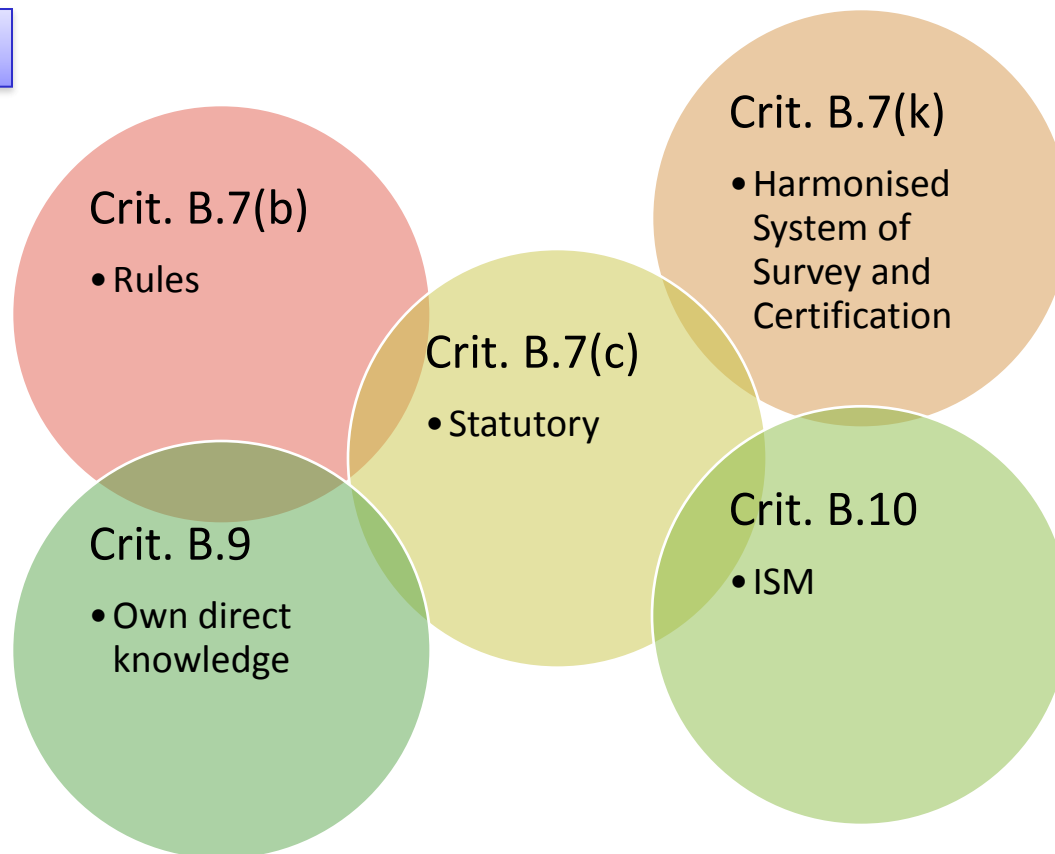
Regulation (EC) 391/2009

MONITORING AND MEASUREMENT



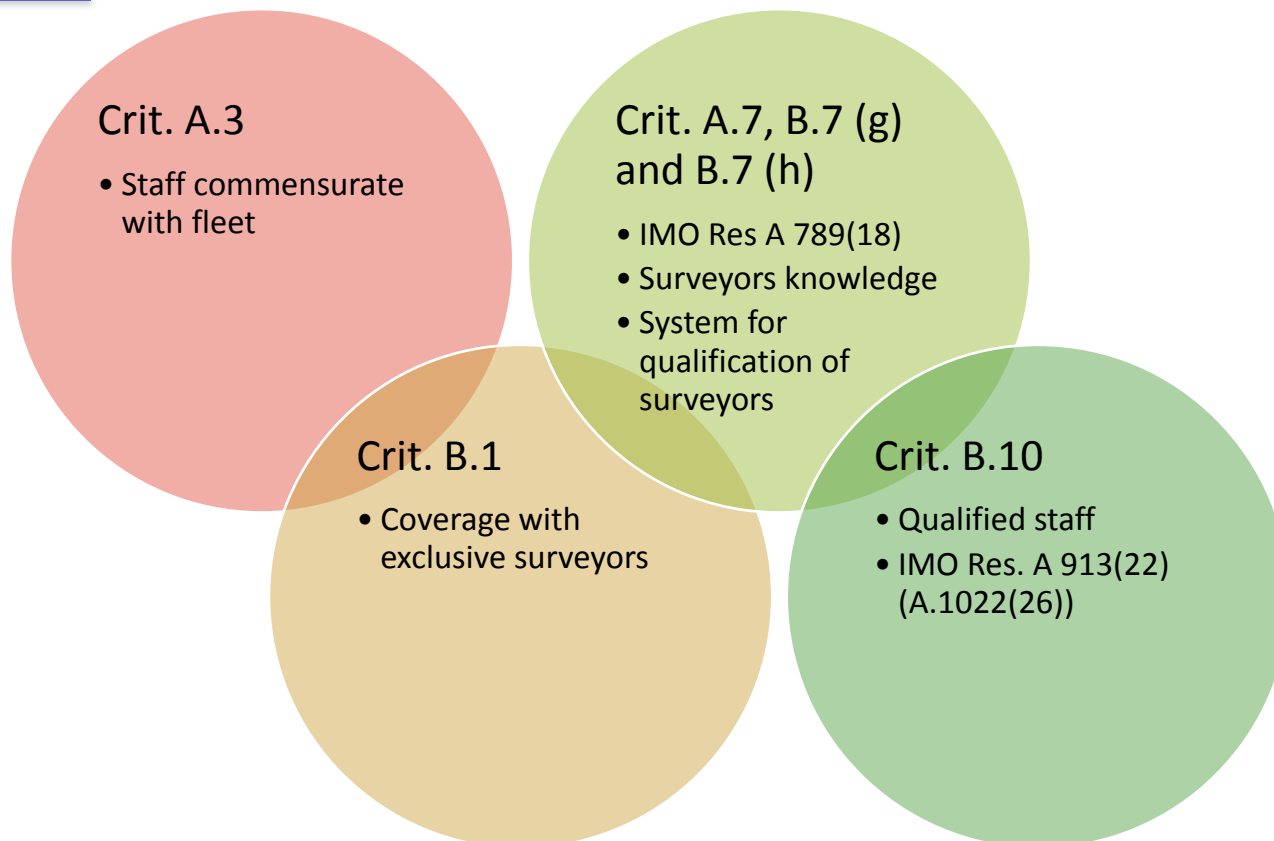
Regulation (EC) 391/2009

COMPLIANCE



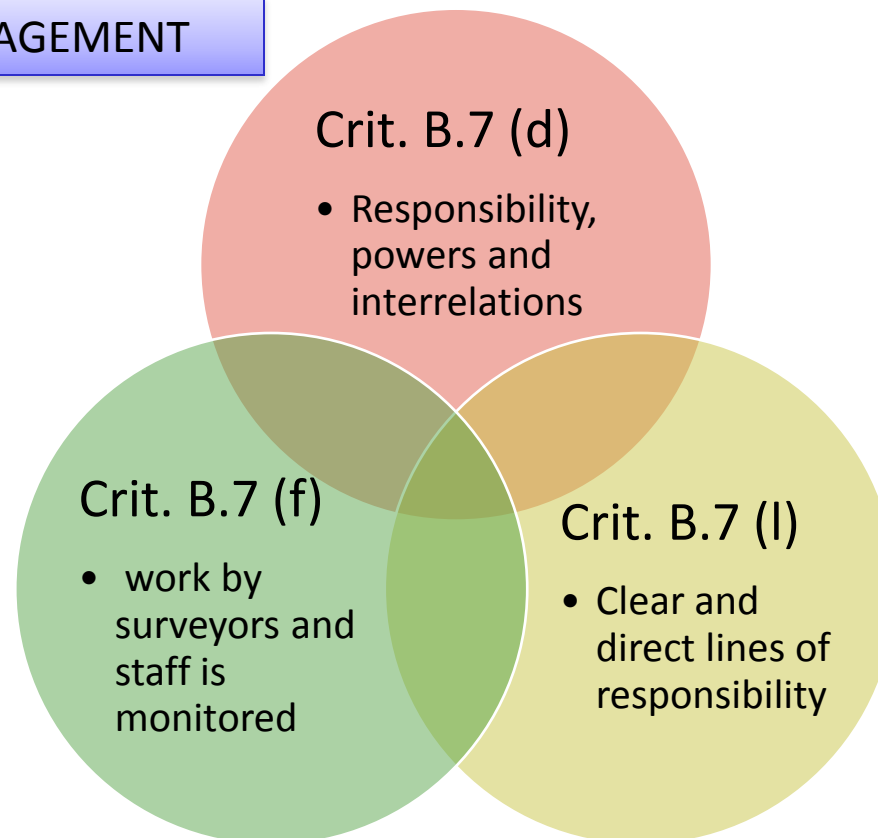
Regulation (EC) 391/2009

PERSONNEL



Regulation (EC) 391/2009

ORGANISATION AND MANAGEMENT



Regulation (EC) 391/2009

TRANSFER OF CLASS

Art. 10.5

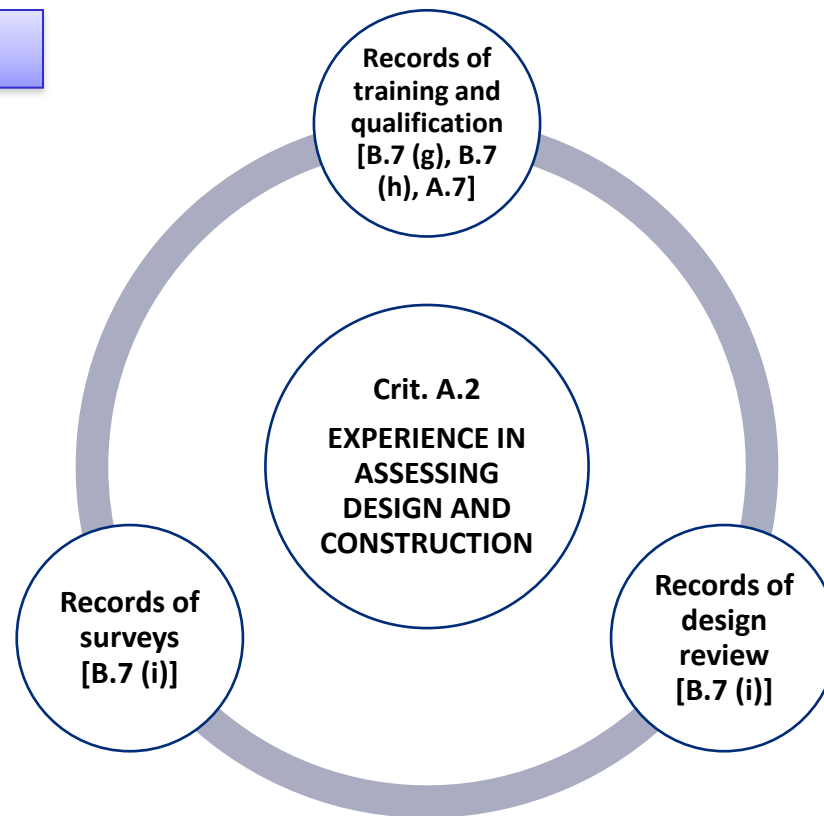
- Communication with flag State

Art. 10.6

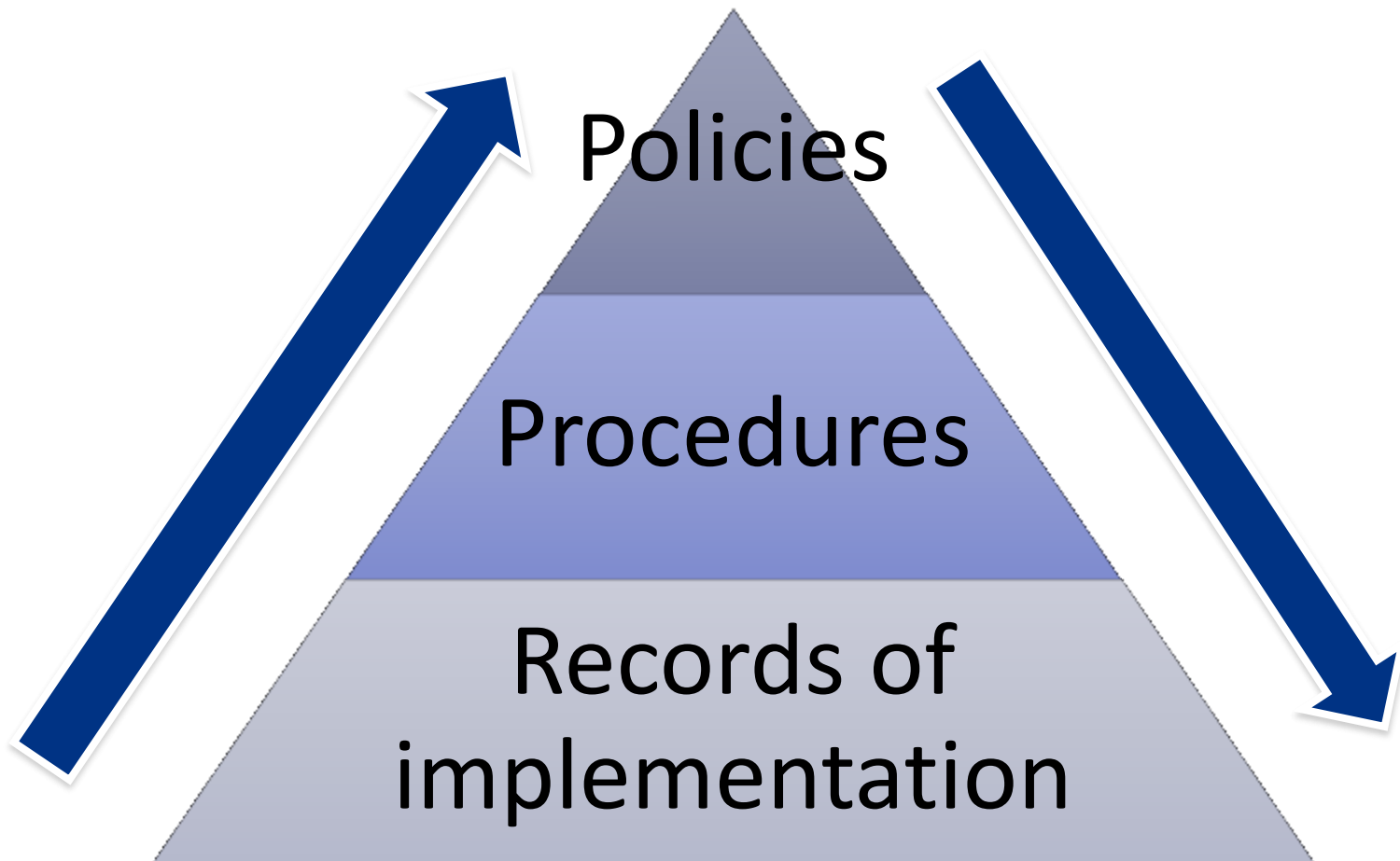
- Communication between societies
- Common requirements
- Cooperation

Regulation (EC) 391/2009

EXPERIENCE

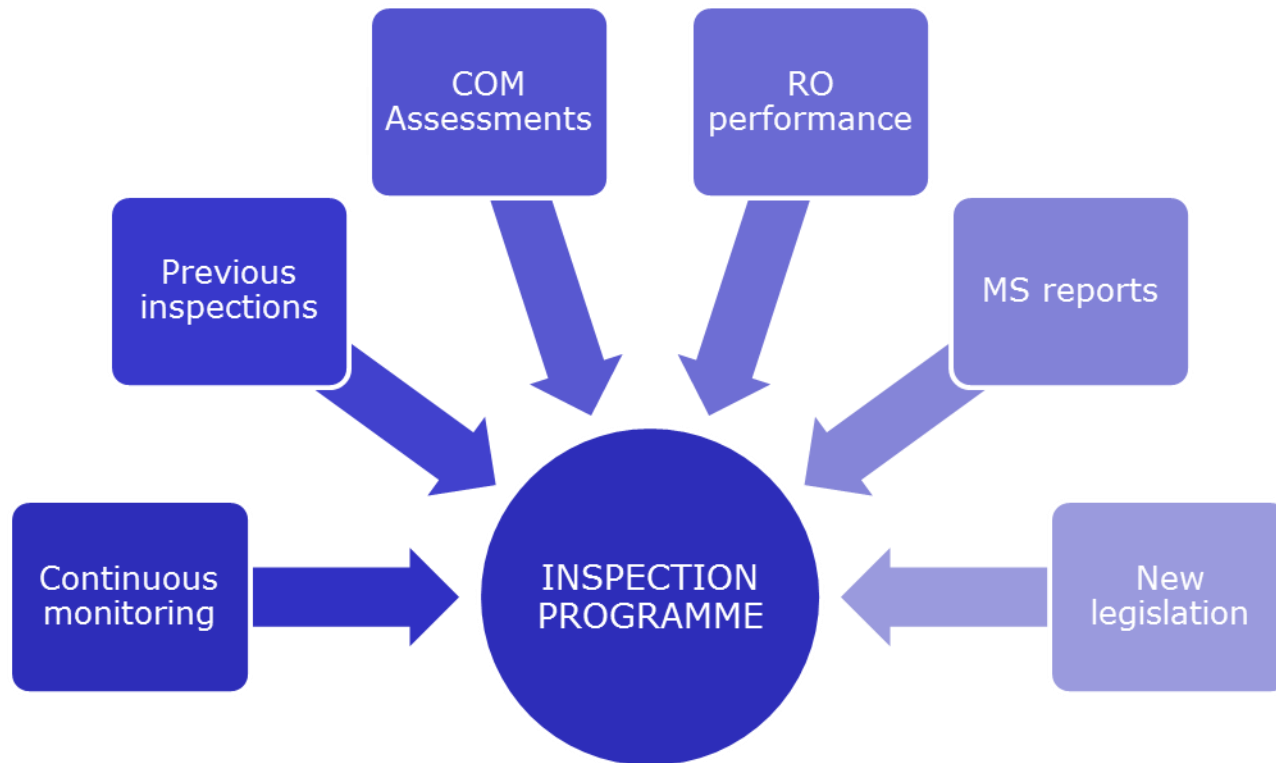


EMSA Inspections of ROs



EMSA Inspections of ROs

Risk Based Approach



INSPECTIONS ARE PLANNED IN ACCORDANCE WITH THE AGENCY'S ANNUAL WORK PROGRAMME AND IN CONCERT WITH THE COMMISSION

EMSA Inspections of ROs

Risk factors

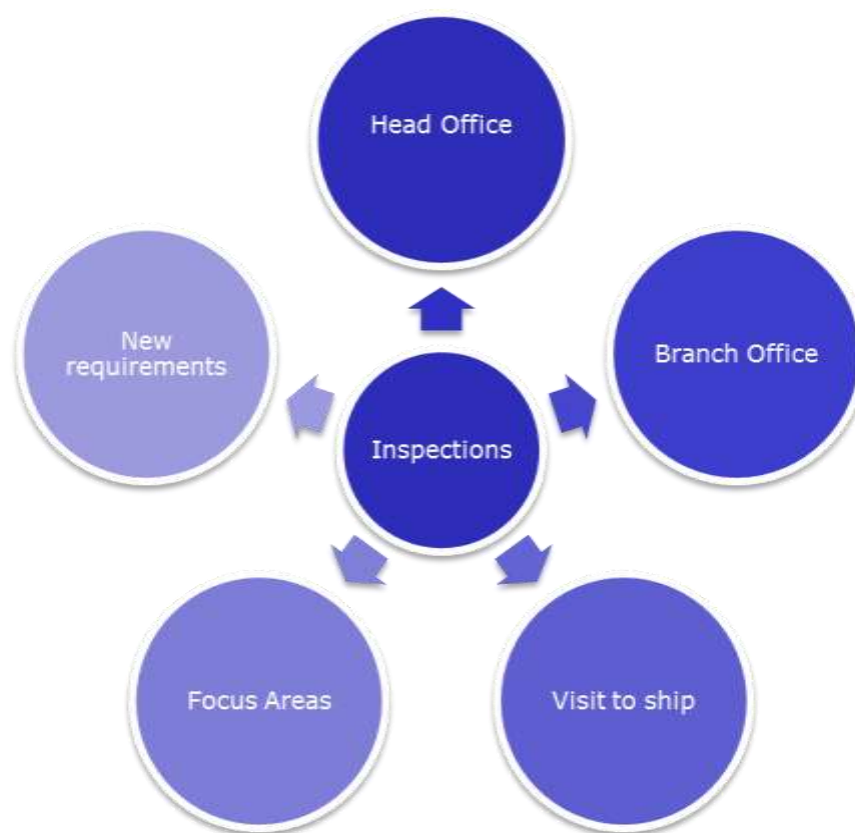
Where to go?

- From previous inspections, RO had problems in supervision of new buildings
- RO has started new building surveys in a 'greenfield' shipyard in China
- ...

What to look for?

- Sufficient qualified staff for the job?
- Effective controls in place?
- Same problems as in previous inspections?
- ...

EMSA Inspections of ROs



EMSA Inspections of ROs

OBJECTIVES

- Verify compliance with the requirements

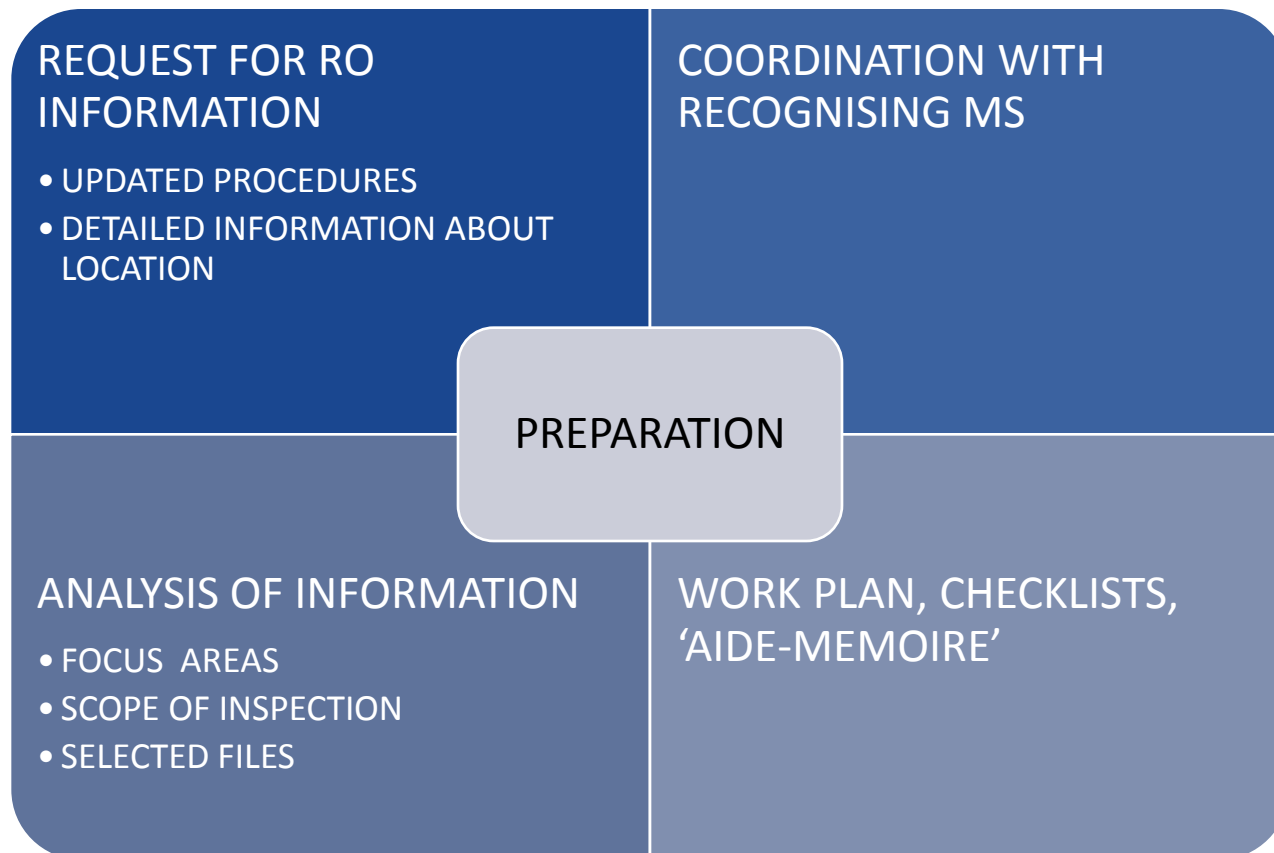
SCOPE

- Determined according to:
 - RO
 - Location
 - Type and importance of activities
 - ...

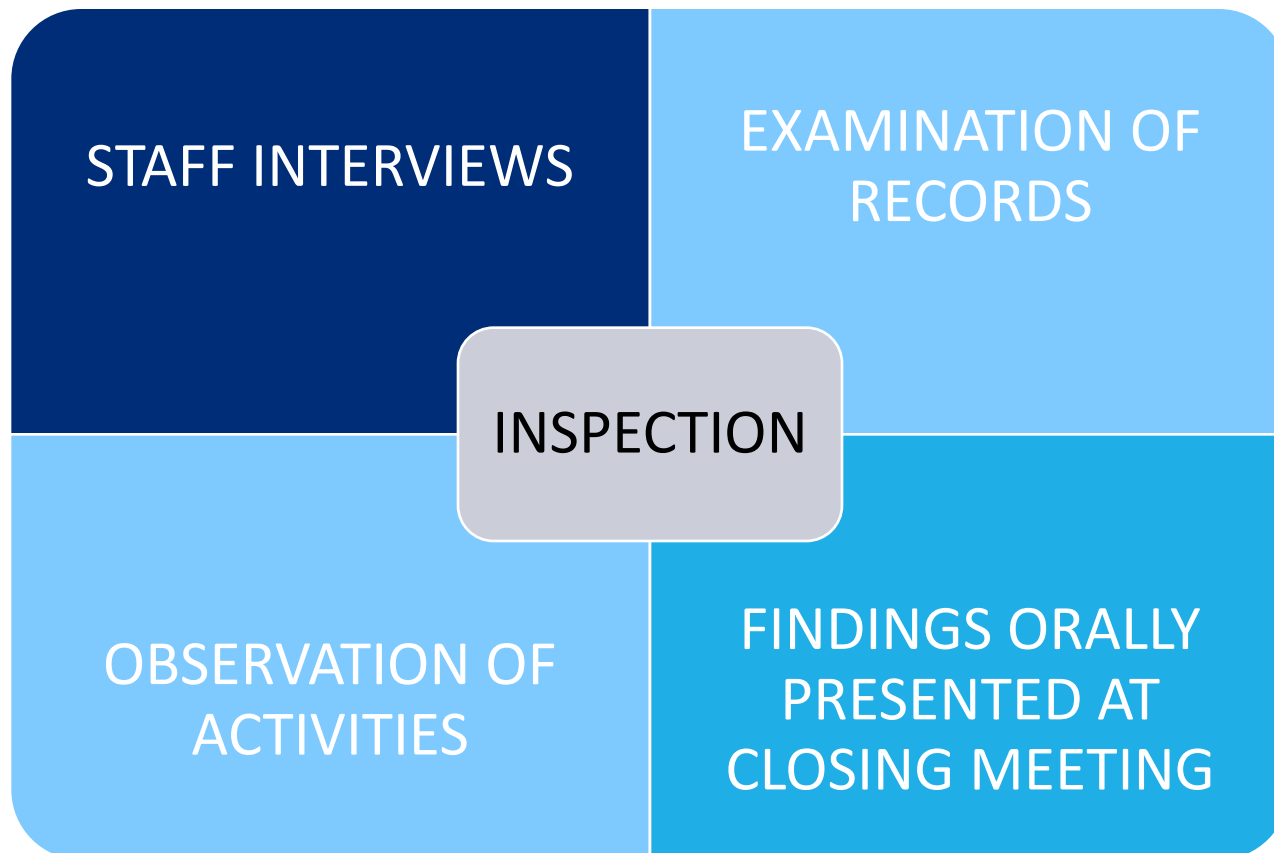
OUTPUT - REPORT

- Factual report to COM, RO and participating MS
- COM uses reports to carry out assessments

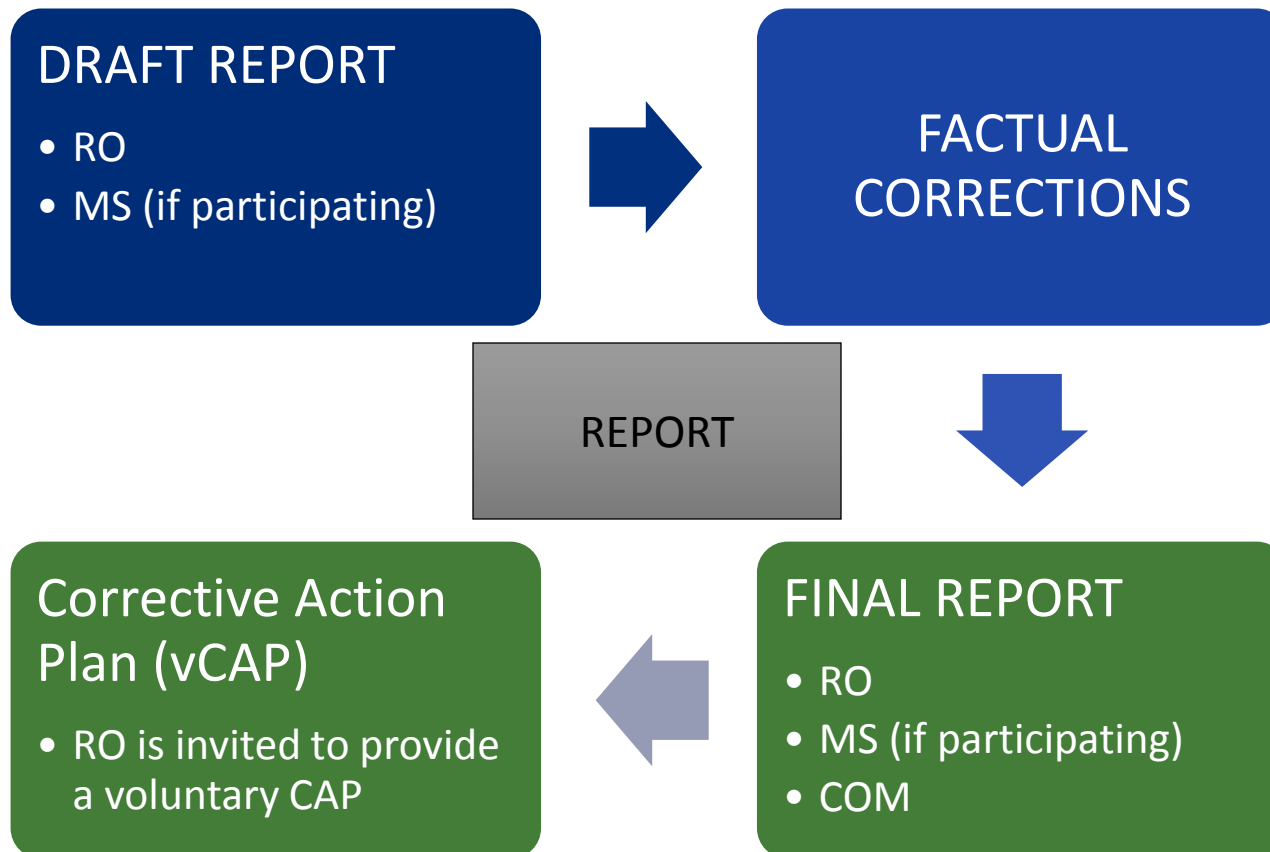
EMSA Inspections of ROs



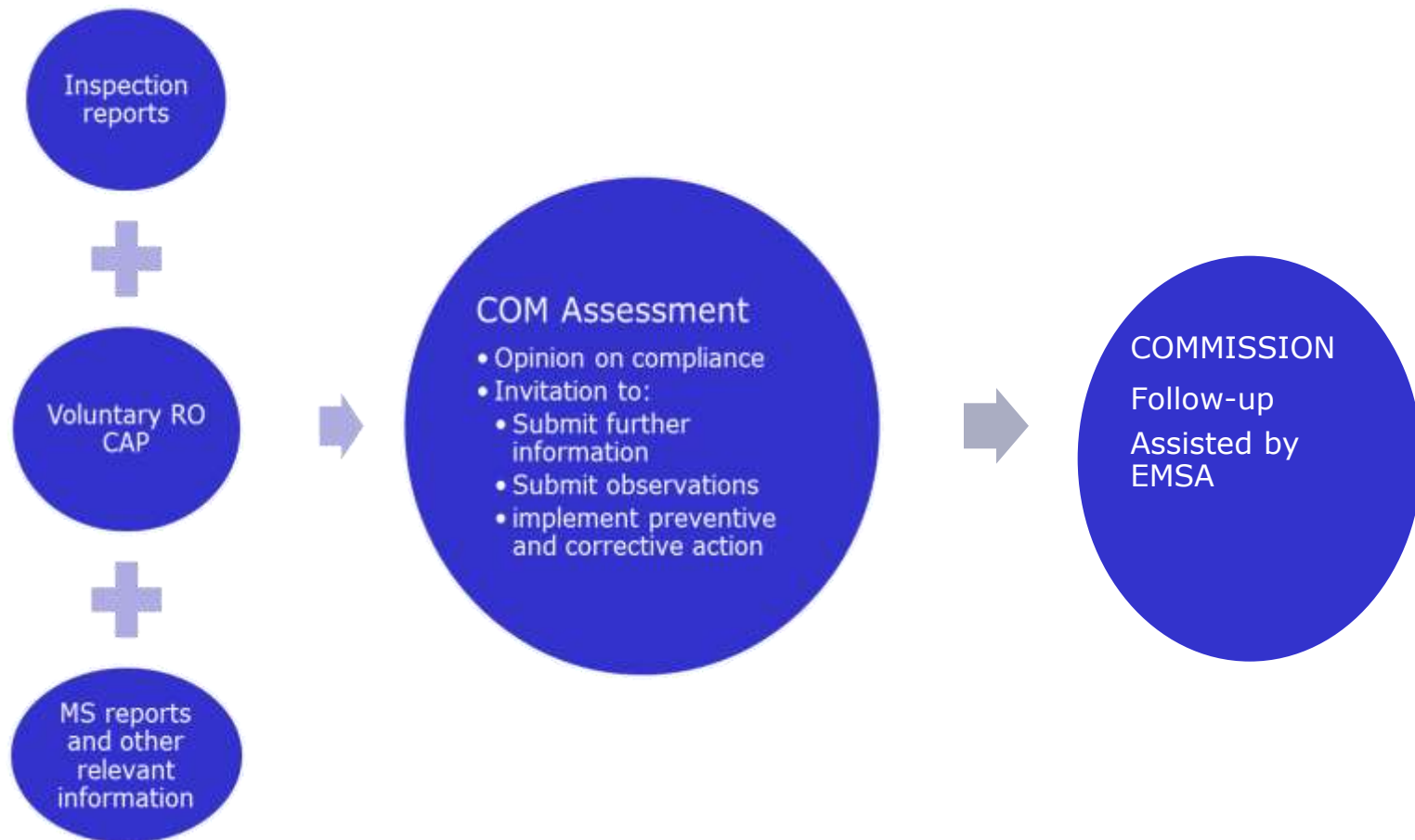
EMSA Inspections of ROs



EMSA Inspections of ROs



Commission Assessment of ROs



EMSA Inspections of ROs - Resources

Resources

- 9 Assessors
- 1 Project officer

Activity

- 125 inspections under the scope of Reg.391/2009

QUESTIONS?