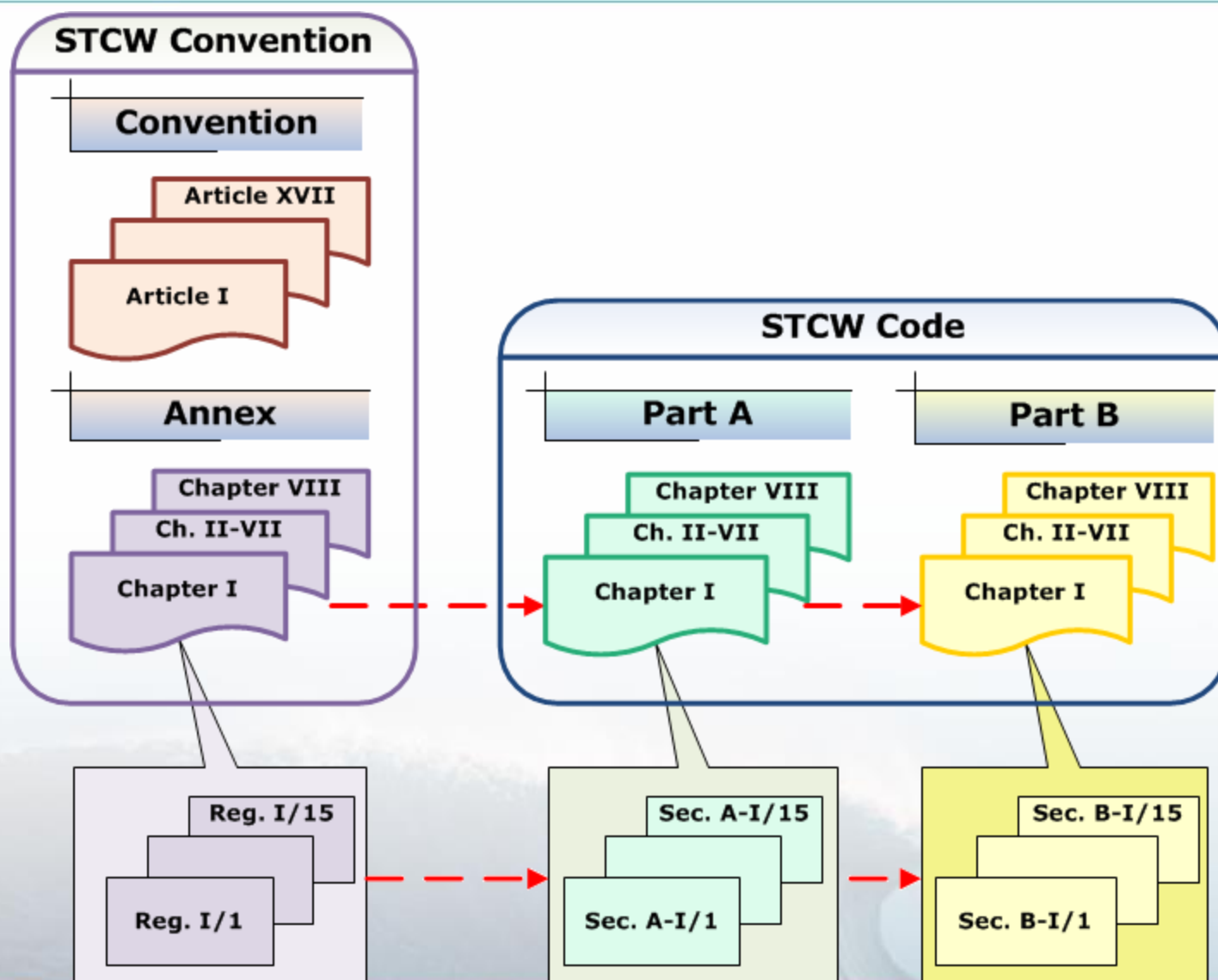


STCW Convention Familiarisation with 2010 Manila amendments

Chapter I – General provisions

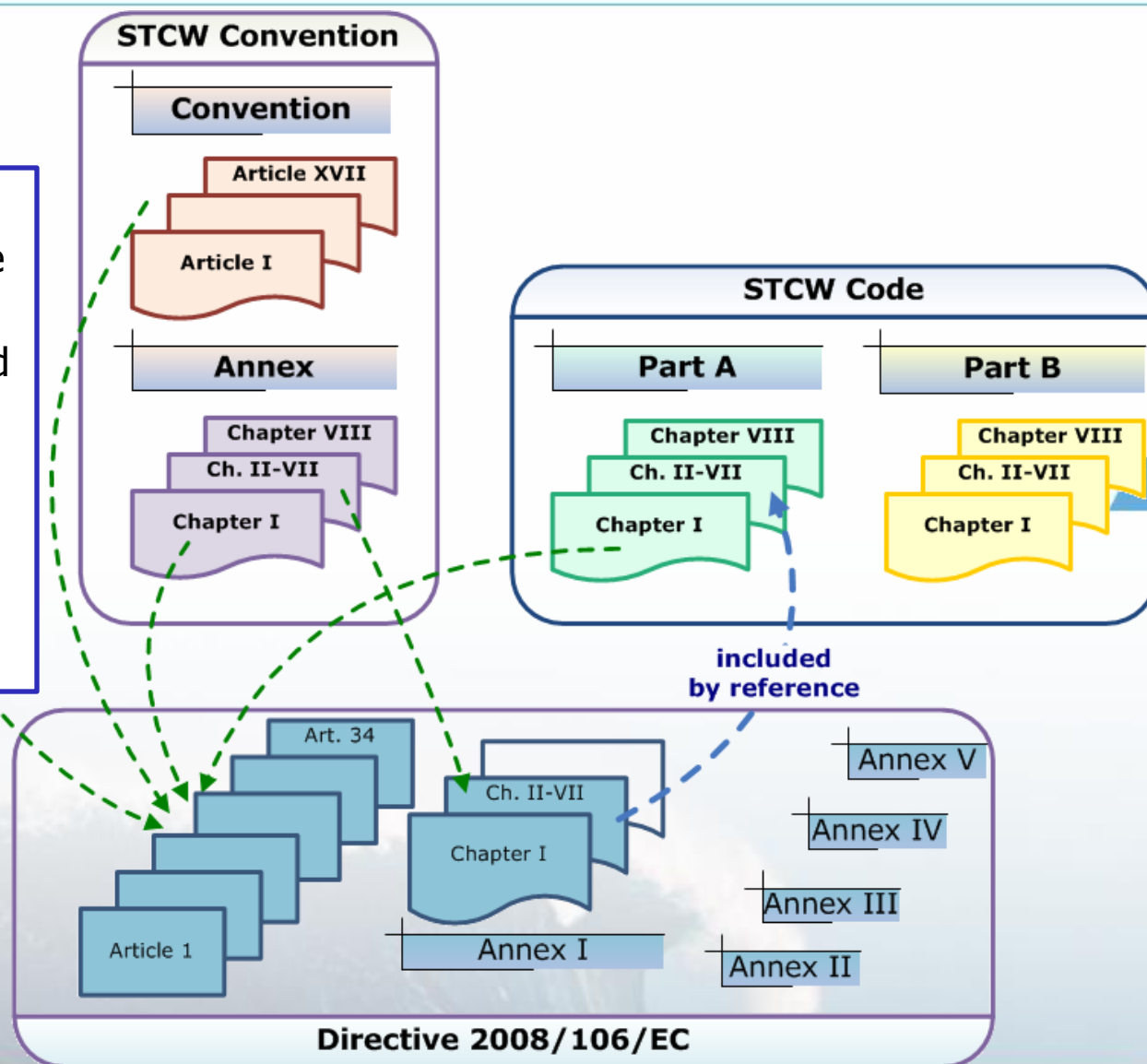
Lisbon, 1-3 April 2014

Training of Seafarers
Visits and Inspections
EMSA



Additional provisions

- Detailed procedure for recognition
- Prevention of fraud and other unlawful practices
- On-board communication
- STCW-Information System



Regulation I/1 <-> Article 1



**Appropriate
certificate**



**Certificate of
Competency
(CoC)**

Regulation I/1 <-> Article 1

CoC

issued & endorsed to **masters, officers and radio operators** under chapters II, III, IV or VII

Regulation I/1 <-> Article 1

**Certificate of
Proficiency (CoP)**

**Documentary
evidence**

Regulation I/1 <-> Article 1

CoP

certificate **other than a CoC** issued to seafarers in accordance with the Convention

CoP

under II/4, II/5, III/4, III/5, III/7, VII/2
for **ratings**

under V/1-1 & V/1-2 (in case of masters
and officers issued **only** by ADMIN)

under VI/2 and VI/5


under VI/1, VI/3, VI/4, VI/6.2 and VI/6.5
(**if not included** in the qualification)

Regulation I/1 <-> Article 1

Documentary evidence

documentation other than a CoC or CoP used to establish that the relevant requirements of the Convention have been met

Regulation I/1 <-> Article 1



GMDSS Radio operator
Passenger ship
Security duties
Able seafarer deck
Able seafarer engine
Electro-technical officer
Electro-technical rating

Regulation I/1 <-> Article 1

Seagoing service

service on board a ship **relevant** to the **issue and revalidation** of a certificate or other qualification

Regulation I/2 <-> Article 5.3a

CoC

issued **only** by the Administration

following verification of the **authenticity & validity of any necessary documentary evidence**

Article 5.5

➤ Second paragraph:

“Endorsements attesting the issue of a certificate of competency and endorsements attesting a certificate of proficiency issued to masters and officers in accordance with the Regulations V/1-1 and V/1-2 of Annex I shall be issued only if all the requirements of the STCW Convention and this Directive have been complied with.”

Regulation I/2 <-> Article 5.6 & 5.7

EaR / CEC

for **CoCs**

for **CoPs under V/1-1 and V/1-2**
issued to masters and officers

issued **only** by ADMIN

after **verification** of validity &
authenticity

Regulation I/2 <-> Article 5.11 to 5.13

Old Reg I/9

- Conditions for certification
- Registration



New Reg I/2

- Conditions for certification
- Registration
- As of **1 January 2017**:
information, in English; through electronic means

Regulation I/2 <-> Article 5.12

Reg I/2.15

- Each Party undertakes to make available information on the status of such certificates of competency, endorsements and dispensations to other Parties and companies which request verification of the authenticity and validity of certificates produced to them by seafarers seeking recognition of their certificates under regulation I/10 or employment on board ship.



Article 5.12.(b)

- Each Member State shall undertake to make available information on the status of certificates of competency, endorsements and dispensations to other Member States or other Parties to the STCW Convention and companies which request verification of the authenticity and validity of certificates of competency and/or certificates issued to masters and officers in accordance with Regulations V/1-1 and V/1-2 of Annex I produced to them by seafarers seeking recognition, under Regulation I/10 of the STCW Convention, or employment on board ship.

Section A-I/2

- **Reference** to regulation **I/2, paragraph 9** changed to **I/2, paragraph 11** (template of certificate and endorsements)
- **Approval of seagoing service** → Parties **should** ensure that the seagoing service is relevant to the qualification being applied for
- **Approval of training courses** → Parties **should** take into account the IMO Model Courses and **ensure** that the detailed learning objectives **recommended** therein are **suitably** covered
- Provisions **shall** be made to allow **electronic access to registers**
- Development of a database for certificate registration (standard database not necessary)

Section A-I/2

Approval of training courses

6 In approving training courses and programmes, Parties should take into account that the relevant IMO Model Courses can assist in the preparation of such courses and programmes and ensure that the detailed learning objectives recommended therein are suitably covered.

Section B-I/2

❖ Content of the CoP:

- .1 names of the issuing Party and authority;
- .2 number assigned to the certificate by the issuing authority;
- .3 full name and date of birth of the seafarer;
- .4 title of the certificate;
- .5 number, or numbers, of the Convention regulation(s) or of the STCW Code section(s) under which the seafarer has been found qualified;
- .6 dates of issue and expiry of the certificate;
- .7 limitations, if applicable;
- .8 name and signature of the authorized person who issues the certificate;
- .9 photograph of the seafarer;
- .10 if the certificate is intended to be revalidated, then the date of revalidation, extension of the validity, name and signature of the authorized person; and
- .11 the contact details of the issuing Authority.

Section B-I/2

- ❖ **If** an **application** for revalidation is made **within six months** before the expiry of an endorsement (paragraphs 5, 6 & 7 of Reg I/2)→ the endorsement **may** be revalidated:
 - ✓ **until the fifth anniversary of the date of validity**, or extension of validity of the endorsement; or
 - ✓ **the date the certificate endorsed expires**, whichever is earlier

- ❖ New Table B-I/2 (previously Table B-I/9-3)

Section B-I/2

Regulation	Doc	EaR	Registr.	Revalidation
II/1, II/2, II/3, III/1, III/2, III/3, III/6, IV/2, VII/2	CoC	✓	✓	✓
II/4, II/5, III/4, III/5, III/7, VII/2	CoP		✓	
V/1-1, V/1-2 (officers)	CoP	✓	✓	✓
V/1-1, V/1-2 (ratings)	CoP		✓	
V/2	Doc. evid.			Refresher training on 'crowd management', 'crisis management and human behaviour' and 'passenger safety, cargo safety and hull integrity'
VI/1, VI/2, VI/3	CoP		✓	Evidence of maintaining the required standards of competence every five years
VI/4, VI/5, VI/6	CoP		✓	

Regulation I/3 <-> Article 7

- CoCs NCV – voyages of the coast of other Party (their definition of NCV) → **undertaking**
- CoCs NCV accepted by other Party for its defined limits for NCV → **undertaking**
- NCV limits **incorporated in the endorsement**

Section A-I/3

- **factors** that **must be considered** when defining near-coastal voyage limits
- near-coastal voyages are **not to be extended worldwide**



Section B-I/3

- ❖ Coastal States **may** adopt **regional “near-coastal voyage limits”** through bilateral or multilateral arrangements



Regulation I/4

- amendments to include security-related provisions



Section A-I/4

- control procedures related to security:
 - verification of certificates
 - assessment of competence for personnel with designated security duties (Ch. XI/2 SOLAS)



Regulation I/5 <-> Articles 9.1 & 8.1

- establish processes and **procedures for the impartial investigation** of any reported incompetence, act, omission **or compromise to security** that may pose a direct threat to safety of life or property at sea or to the marine environment, by the holders of **certificates** or **endorsements**
- take and enforce appropriate measures to **prevent fraud and other unlawful practices** involving **certificates** and **endorsements** issued

Regulation I/6

Section A-I/6

- **no amendments**



Section B-I/6

- ❖ **Guidance** for training and assessment **using e-learning** and **distance-learning**
 - approved by ADMIN
 - applicable to MET institutions
 - can be applied by shipping companies and/or other training providers

- ❖ **Recommendation** to **maintain registers** of approved **training providers, courses and programmes**

Regulation I/7

- mechanism to **review the so-called “white list”**
- amendments that entered into force after initial communication – **not subject to** be communicated until the next independent evaluation

Section A-I/7

- **is subdivided into:**
 - Part 1: Initial communication of information
 - **Part 2: Subsequent reports**
 - **Part 3: Panel of competent persons**
 - Part 4: Report to the Maritime Safety Committee

Section A-I/7

- **Part 2 – Subsequent reports:**

- report within 6 months of adopting equivalent arrangements, recognising certificates, authorising alternative certificates
- establishes **the content of the report** pursuant to **Regulation I/8.2**
- establishes **when and what to report** concerning the steps taken to implement **mandatory amendments**

Section A-I/7

- **Part 3 – Panel of competent persons:**
 - panel to review all reports
 - **panel to review reports of independent evaluations** under Regulation I/8
 - **task** of the panel **when reviewing a report of independent evaluation**
 - requirements for panel meetings and expression of views

Section B-I/7

- ❖ **Guidance** on the material to be submitted when reporting the **results of the independent evaluation** carried out pursuant to regulation I/8
- ❖ **Guidance** on the material to be submitted when reporting **steps taken to implement mandatory amendments** to the Convention

Regulation I/8 <-> Article 10

- medical certification - **to be covered by the QSS**
- independent evaluation **shall include all changes in compliance with the amendments that entered into force after communication of information** to the IMO

Section A-I/8

- The independent evaluation shall verify that:

“all applicable provisions of the Convention and STCW Code, **including their amendments**, are **covered by the QSS”**

Regulation I/9 <-> Article 11

Medical
standards

Medical
certificate

Regulation I/9 <-> Article 11

- Each Party to establish:
 - **standards of medical fitness** for seafarers
 - **procedures** for issuing **medical certificates (MC)**
- Each Party to **recognise** the **medical practitioners**
- Every seafarer to **hold a MC**
- **Issue of MC**: age, identity, meet the standards
- **Validity of the MC** (2 years / 1 year under 18 years of age)
- **Derogations** to hold a valid MC, max 3 months:
 - if MC expired during the voyage;
 - in urgent cases, allow a seafarer without a valid MC until next port where a recognised practitioner is available

Section A-I/9

- Parties, when establishing medical standards, **required to:**
 - **adhere** to the minimum in-service eyesight standards
 - **take into account** the physical and medical fitness criteria
- **medical examinations** are conducted **by medical practitioners** (individuals NOT clinics !!) appropriately **qualified** and **recognised** by the Party
- **provisions** for **recognition of medical practitioners** and a **register** of recognised practitioners
- **guidance** for medical fitness examinations and issuing MCs
- processes and procedures for **appeal**
- **minimum information** to be included in the **MC**

Section B-I/9

- ❖ minimum physical abilities in Table B-I/9
- ❖ recommendation for the ILO/IMO “***Guidelines on Medical Examinations for Seafarers***”
- ❖ recommendations on recognising medical practitioners
- ❖ recommendations on establishing medical standards

Regulation I/10, paragraph 1

Old

New

issue and endorsement of
certificates & record keeping

requirements concerning
standards of competence

through

all necessary measures

quality standards

training and certification

requirements of the Convention
regarding stand. of competence

through

an evaluation of the Party

Regulation I/10, paragraph 5

***Administrations may ...
allow a seafarer to serve
in a capacity, other than
radio officer or radio
operator, except as
provided by the Radio
Regulations, for a period
not exceeding three
months ...***

***Administrations may ...
allow a seafarer to serve
in a capacity, subject to
the provisions of
paragraph 1, for a period
not exceeding three
months ...***

Section B-I/10

- “appropriate certificate” **changed** into **CoC**
- **minimum information** which **should** be provided in the documentary proof
- the documentary proof **may be** made available by electronic means

Article 19 Recognition of CoCs & CoPs

- Includes now CoPs for tankers issued to masters and officers
- Decision by COM **in 18 months**



Regulation I/11 <-> Article 12

- Masters and officers on board tankers: every five years **establish continued professional competence for tankers** (A-I/11.3)
- until **1 January 2017** compare the standards of competence and **determine the need for** requiring **refresher and updating training**

Section A-I/11

***Approved seagoing service ...
one year in total in the
preceding five years***

***Approved seagoing service
- twelve months in total in
the preceding five years;
or
- three months in total
during the preceding six
months immediately prior
to revalidating***

Section A-I/11

Continued professional competence for tankers

Approved seagoing service , performing duties **appropriate to the tanker** certificate or endorsement held, for a period of at least **three months** in total during the **preceding five years**

Successfully **completing an approved relevant training course** or courses

Section B-I/11

- a **test may** take the form of **written** or **oral examination**, the **use of a simulator** or **other appropriate means**
- approved seagoing service **may be served** in an **appropriate lower officer rank** than that stated in the certificate held
- **if application** for revalidation made **within six months** before expiry of the certificate → the certificate **may** be revalidated **until the fifth anniversary of the date of validity**, or extension of validity, of the certificate

Regulation I/12

- **obsolete provisions** (exemptions for simulators installed prior to 1 February 2002) **deleted**



Section B-I/12

- ❖ **Guidance** for training and assessment in the operational use of Electronic Chart Display and Information Systems (**ECDIS**) (chapter II training requirements)



Regulation I/13

- scope of application **extended** to include security-related trials (paragraphs 2, 3 and 8)



Regulation I/14 <-> Article 14

- companies' **responsibility** to ensure **seafarers have received** required **refresher and updating training**
- companies' **responsibility** to ensure **effective oral communications on board** ships



Section A-I/14

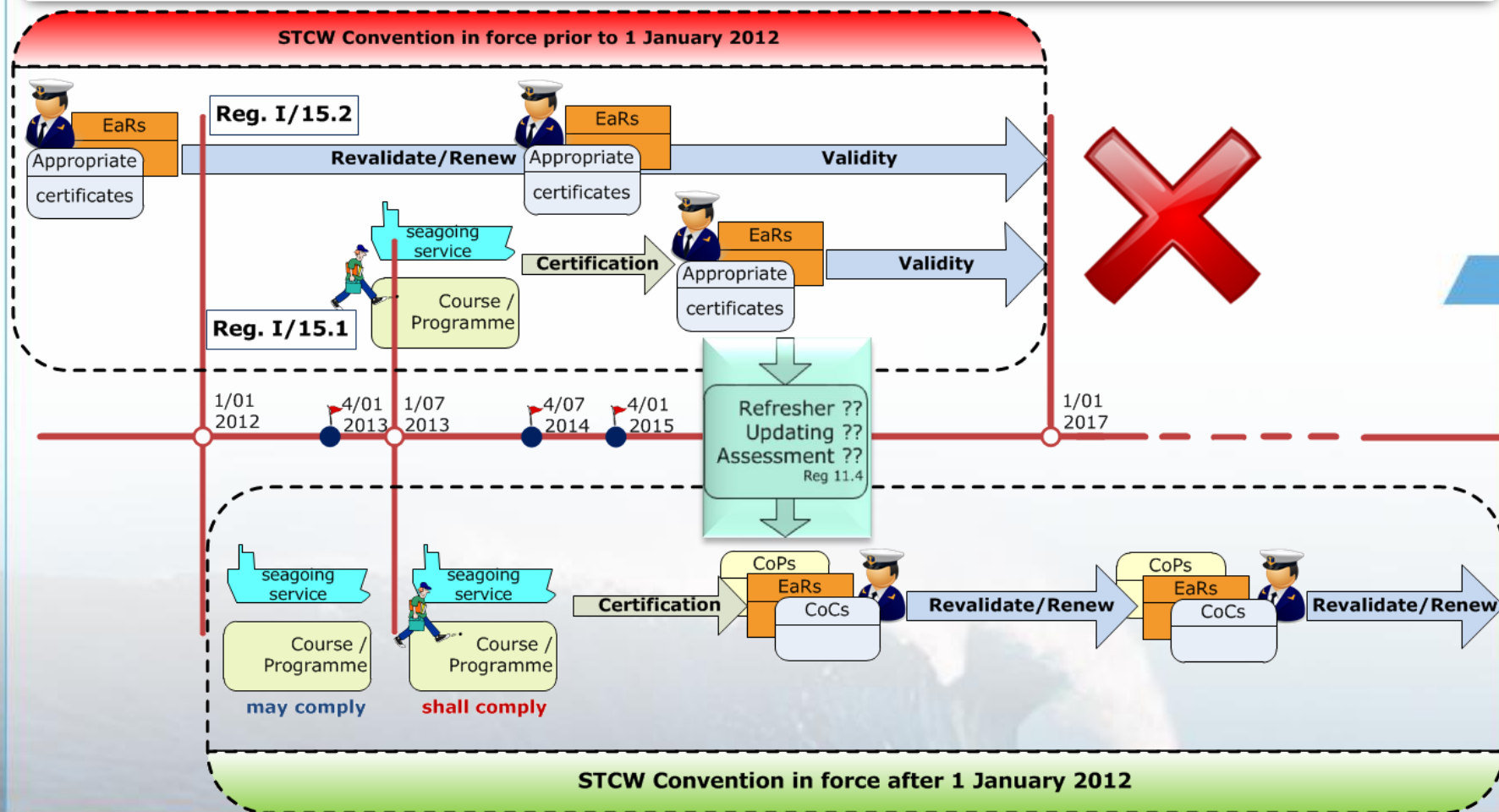
- Masters, officers and other personnel assigned duties and responsibilities receive **ro-ro passenger ship familiarization training** (Guidance in B-I/14)



Section B-I/14

- ❖ **Guidance** on the **ro-ro passenger ship familiarization training** (text from old Section A-V/2, paragraph 2)
- ❖ companies **should** ensure:
 - **all** seafarers receive **familiarization** training on **free-fall lifeboats** (for ships equipped with)
 - those assigned as **operating crew of free-fall lifeboat** have undergone **appropriate training**
 - **GMDSS familiarization training** (for GMDSS radio operators)

Regulation I/15 <-> Article 30



Regulation I/15

- STCW.7-Circ.16 - Clarification of transitional provisions (**Parties**)
- STCW.7-Circ.17 - Clarification of transitional provisions (**PSC**)



Directive 2012/35/EU – Art. 2

- **Bring into force laws, regulations and administrative procedures** to comply (*Article I.2 of the STCW Convention*)
- Compliance by **4 July 2014**



Questions ?