

Monitoring of Recognised Organisations

General requirements for ROs

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- **Rules and regulations**
- **Independence**
- **Impartiality**
- **Integrity**
- **Competence**
- **Responsibility**
- **Transparency**

Case No.1 : Independence and impartiality (1)



References:

- RO Code Part 2 Section 2.3
- RO Code Part 2 Section 2.4

Documents:

- Articles of Association
- Annual Corporate report
- Classification Committee Rules
- List of Classification Committee members
- Technical Committee rules
- List of Technical Committee members
- Code of Ethics
- OCS Rules

Brief:

Review the documents provided and discuss the potential/actual threats to independence;

Areas to look at:

- Ownership of the RO;
- Composition and operation of the governing bodies;
- Composition and operation of the technical bodies (Class committee, Technical Committee)

References:

- RO Code Part 2 Section 2.8
- RO Code Part 2 Section 3.9.2

Relevant Documents:

- Policy on Transparency
- OCS Rules (refer to document used in case 1)

Brief:

Review the documents and discuss whether the transparency and communication requirements regarding statutory and classification information are met

RO Code Part 2 - 2.8 Transparency

2.8.1 Transparency reflects the principle of access to, or disclosure of, all information related to the statutory certification and services carried out by the RO on behalf of a flag State.

2.8.2 The ROs shall communicate information to the flag State as described in the section on communication/cooperation with the flag State.

2.8.3 Information concerning the status of ships certified by ROs shall be made available to the public.

RO Code Part 2 - 3.9.2 Communication/cooperation with flag State

3.9.2.1 The RO shall establish appropriate communication processes with the authorizing flag State that, inter alia, address the following:

- .1 information specified by the flag State in terms of authorization;
- .2 classification of ships (assignments of class, changes and withdrawals), as applicable;
- .3 cases where a ship did not in all respects remain fit to proceed to sea without danger to the ship or persons on board or presenting unreasonable threat of harm to the marine environment;
- (...)

RO Code Part 2 - 3.9.2 Communication/cooperation with flag State

3.9.2.1 The RO shall establish appropriate communication processes with the authorizing flag State that, inter alia, address the following:

(...)

.4 information on all overdue surveys, overdue recommendations or overdue conditions of class, operating conditions or operating restrictions issued against their classed ships that shall be made available upon request by the authorizing flag State; and

.5 other information as so specified by the authorizing flag State.

References:

- RO Code Part 2 Section 2.6
- RO Code Part 2 Section 4.1.2
- RO Code Part 2 Section 4.2 and appendices 1 and 2

Documents:

- Quality Manual
- Procedure for training
- Procedure for activity monitoring
- List of surveyors
- Qualification and training records
- Oceania Classification Society –List of surveys
- Oceania Classification Society –List of new buildings
- Oceania Classification Society –PSC performance records
- Oceania Classification Society – Register of ships
- Qumar fleet profile

Brief:

Review the documents provided and discuss:

- Does the RO demonstrate sufficient experience in survey of ships in service and new buildings?
- Is the experience relevant for the fleet of the FS from which authorisation is sought?
- Does the RO demonstrate satisfactory performance of statutory certification services?
- Do the QS procedures ensure staff competence?
- Do the QS procedures meet the requirement of the RO Code?
- Do the records support the job competences granted to surveyors?