

# Monitoring of Recognised Organisations

EMSA's experience

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Department B: Ship safety

Lisbon / November 2019



European Commission: assessment of the EU recognised organisations



EMSA entrusted by the Commission with the task of carrying out the necessary inspections.

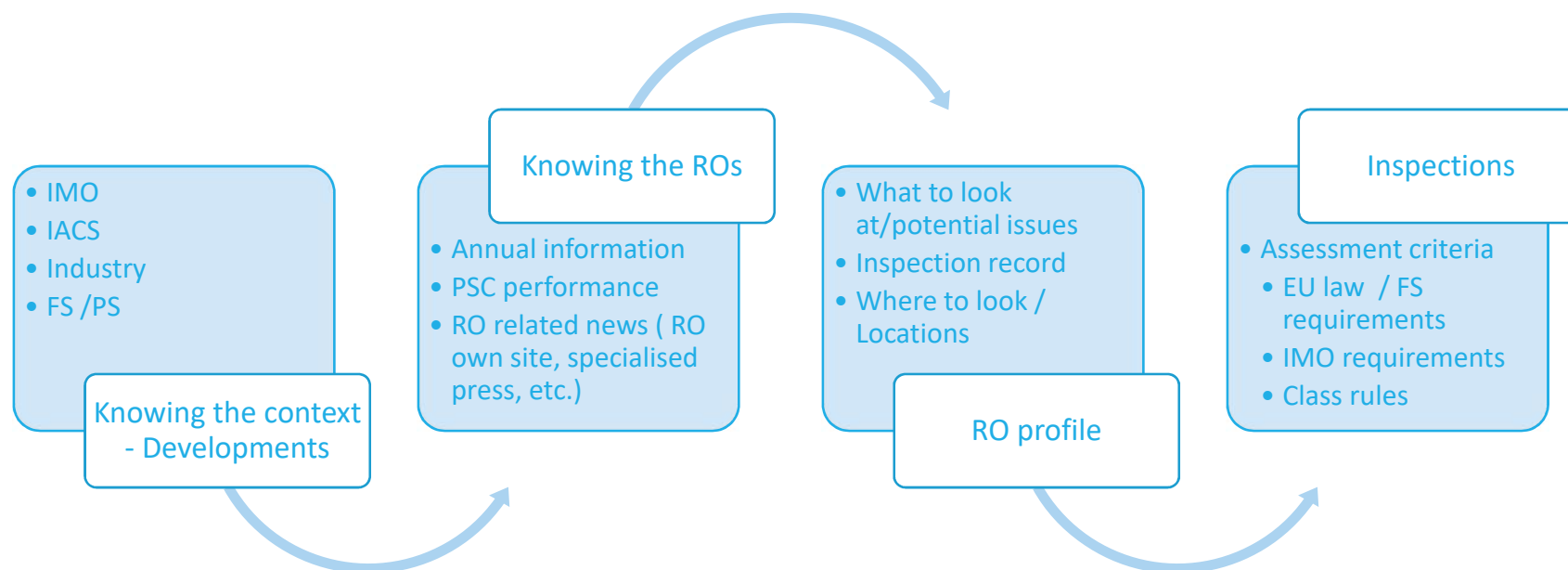


Since 2004, + 300 inspections:

head offices,

region / field/ site offices

visits to ships



## PREPARATION

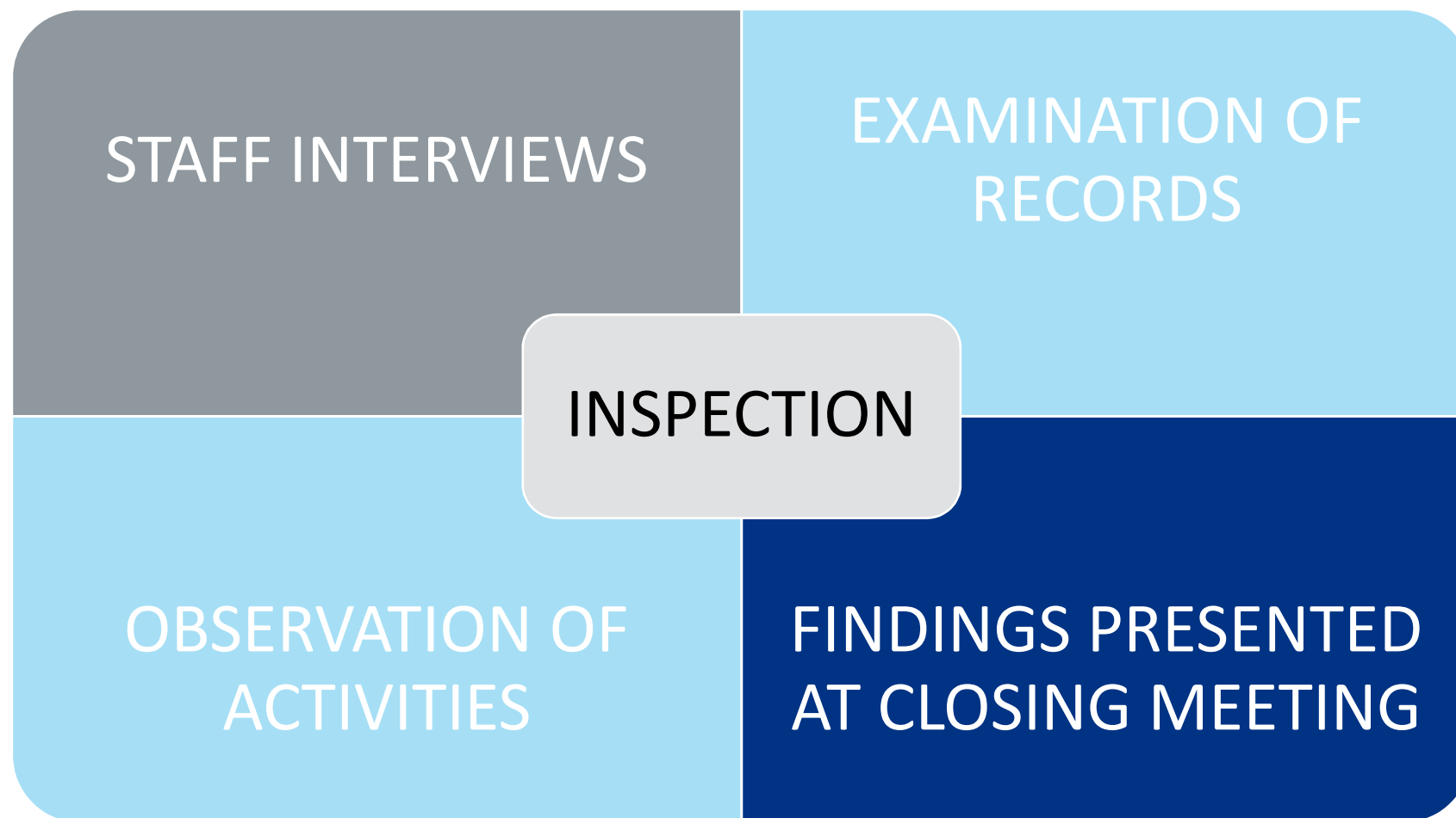
### REQUEST FOR RO INFORMATION

- UPDATED PROCEDURES
- DETAILED  
INFORMATION ABOUT  
LOCATION ACTIVITIES

### ANALYSIS OF INFORMATION

- FOCUS AREAS
- SCOPE OF INSPECTION
- SELECTED FILES

### WORK PLAN, CHECKLISTS, 'AIDE- MEMOIRE'



## REQUEST FOR INFORMATION

- List of shipboard and office audits
- Details of company,
- Details of ship: IMO, Flag, Type, etc.
- Date of audit

# ANALYSIS

Look at PSC records of ships / Companies (Equasis, Seaweb)

Cross check audit dates vs PSC/FS inspections

Identification of ships detained shortly before/after audit; poor performing ships/companies

Select files for review

## File for review?

Intermediate audit  
completed 9  
November 2017

No NC  
identified

Only two  
'observations'  
imposed

Annual surveys  
completed 17  
December 2017

17 conditions  
of class  
imposed

Survey results  
indicate lack of  
maintenance

Ship detained  
January 2018

Drills

ISM related  
deficiencies



## What was found?



### Auditor

Lack of records of qualification and training

Non exclusive auditor

The NES employer was also the managing company for the ship !!!

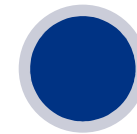


### Audit

Insufficient time

Application of criteria for NC assessment

Audit procedures not followed



### Management control

Assignment of auditor

None of the issues were identified by internal verification of the job

## REQUEST FOR INFORMATION

- List of TOC
- Details of ship: IMO, Flag, Type, YB, etc.
- Date of survey(s)

# ANALYSIS

Look at PSC / survey history of ships

Cross check TOC dates vs PSC/FS inspections

Identification of ships detained shortly before/after TOC

Select files for review

## File for review?

TOC surveys completed 6 June 2016

Bulk carrier >30 Year

Record show repeated TOC /COF in recent years

Poor PSC record

Class suspended before TOC & COF

## What was found?



### Surveyors

One of the attending surveyors was not qualified for ESP BC



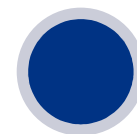
### Survey

Class entry survey not in required scope

Insufficient time given the type and age

In water survey in lieu of DD, against requirements

Statutory surveys not as required



### Management control

Deviation from rules & statutory requirements authorised by HO

No contact with FS prior to issue certificates despite deviation from SOLAS and LL requirements