

# IMO III Code Common areas

Preparatory training for IMSAS



## Part 1 of the III Code

- Objective
- Strategy
- General
- Scope
- Initial actions
- Communication of information
- Records
- Improvement

# Objective

## Paragraph 1-2



Enhance

- Global maritime safety and protection of the marine environment

Instruments

- An administration is only bound by those to which it is a Party

Circumstances

- Greater role as flag State than as a port State or coastal State

### III Code Para. 3 - a State is **recommended** to:

- **Develop** an overall strategy;
- Monitor and assess the **effectiveness**;
- **Continuously review** the overall organizational performance and capability as a flag, port and coastal State.

## Experiences

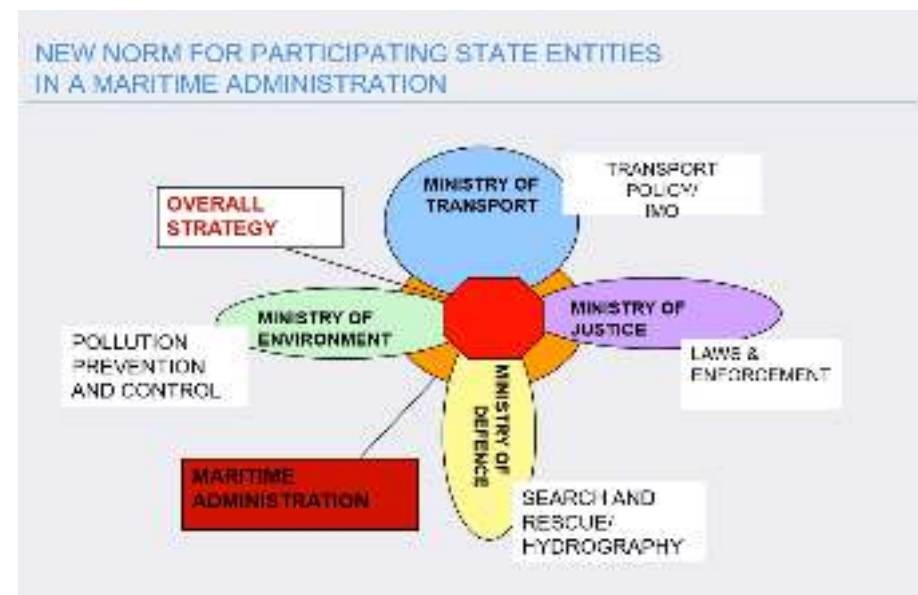
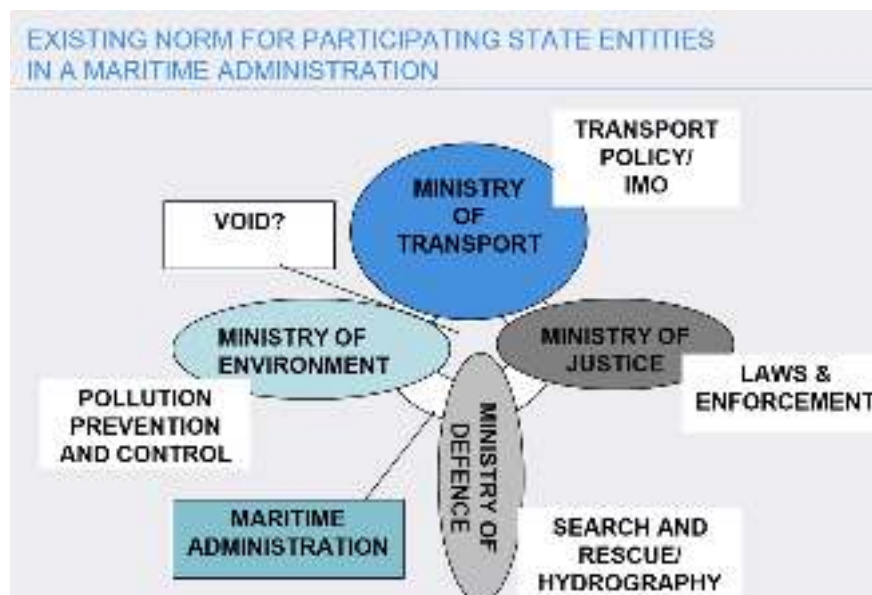
---

Too many strategies?

- 10 year strategy on climate change
- 5 year strategy waste disposal
- 6 year strategy for growing the fleet
- Strategies for everything?



# The road map is



# Strategy – Coordination needed

## Paragraph 3



### IMO Strategy may contain:

- An overall target (Vision Statement)  
(Ex. ...the adoption of the strategy seeks to ensure the achievement and maintenance of a high level of safety and security of shipping and the protection of the marine environment from pollution by ships. In order to achieve this objective...)
- Elements (General objectives)
- Specific targets
- Methods to reach the targets
- Responsible authorities for implementation
- Coordination of all entities
- Performance measurement
- Action taken as necessary

•A Strategy can be 1-100 pages long ...



# General

## Paragraph 4-5



### Under the “ bigger umbrella of UNCLOS”

- A State should take all steps to give full and complete effects to IMO instruments

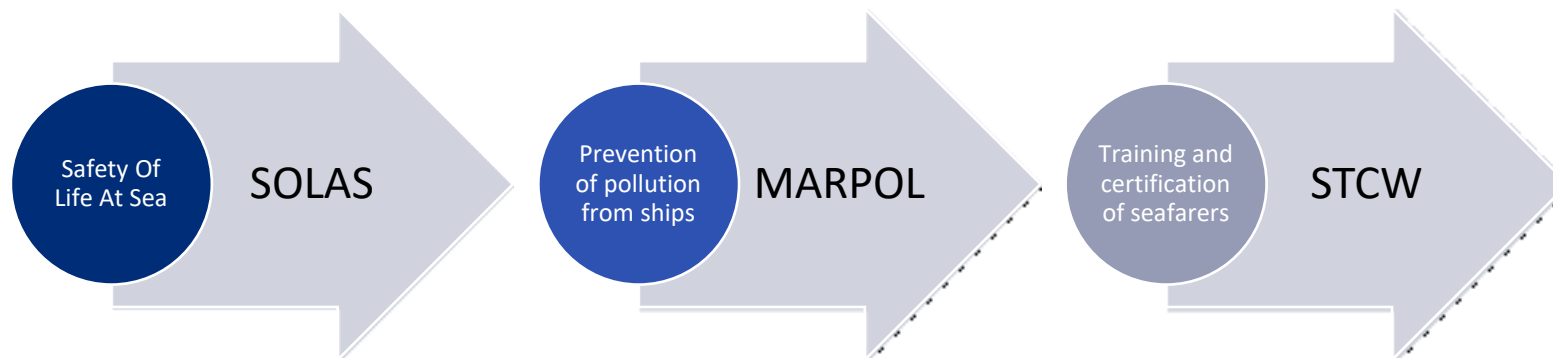


### Not to transfer

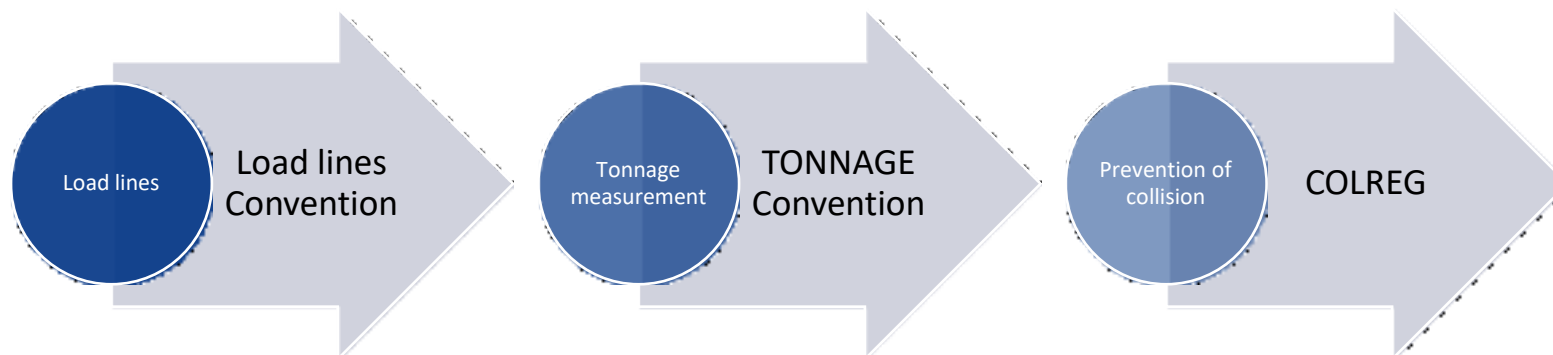
- In taking measures to prevent, reduce and control pollution a **State should not transfer**, directly or indirectly, damage or hazards from one area to another

# Scope

## Paragraph 6



Aspects necessary to give full and complete effect to the provisions of international instruments pertaining to

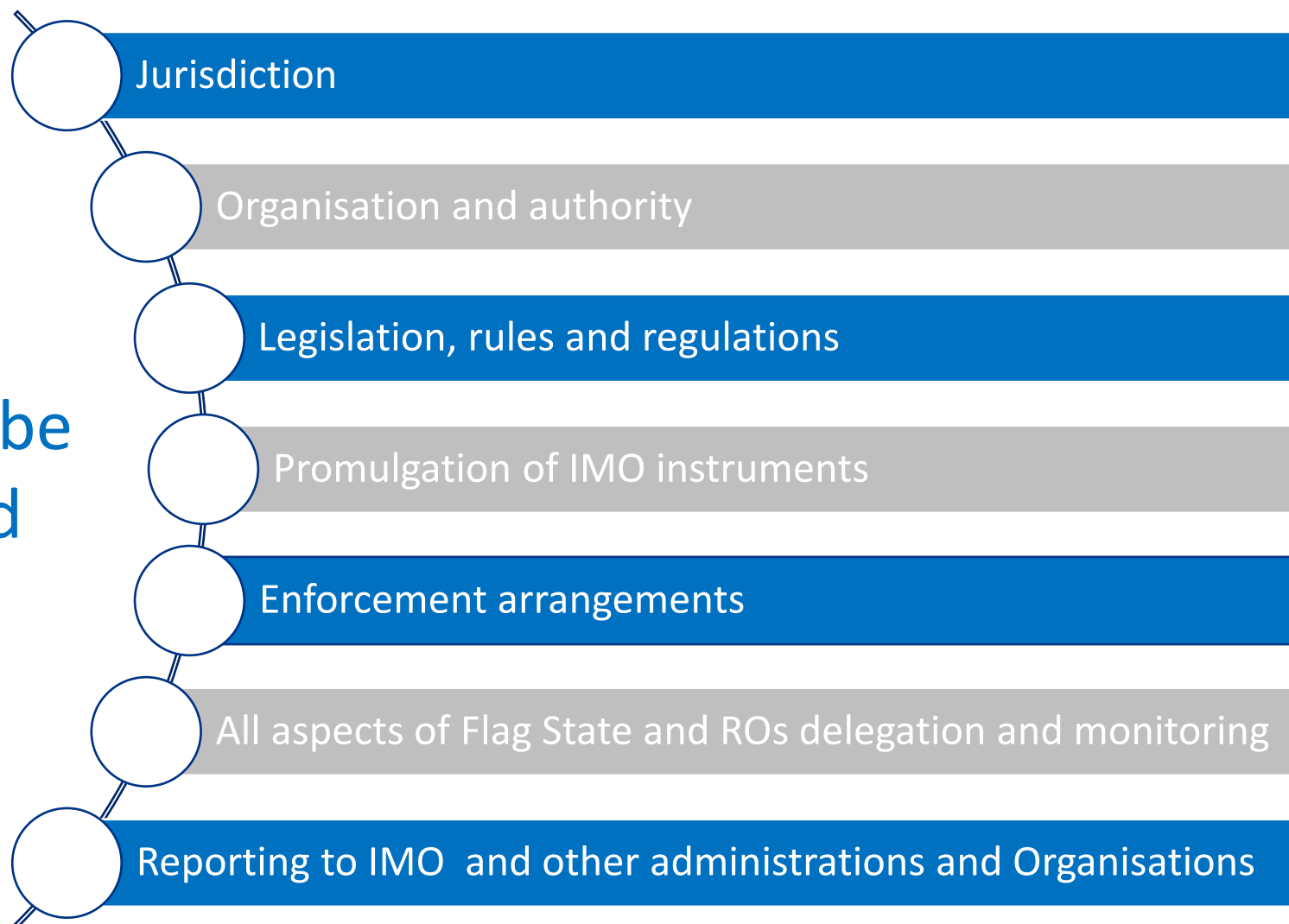


# Scope

## Paragraph 7



Areas to be covered



# Initial actions

## Paragraph 7-8



### Jurisdiction and control

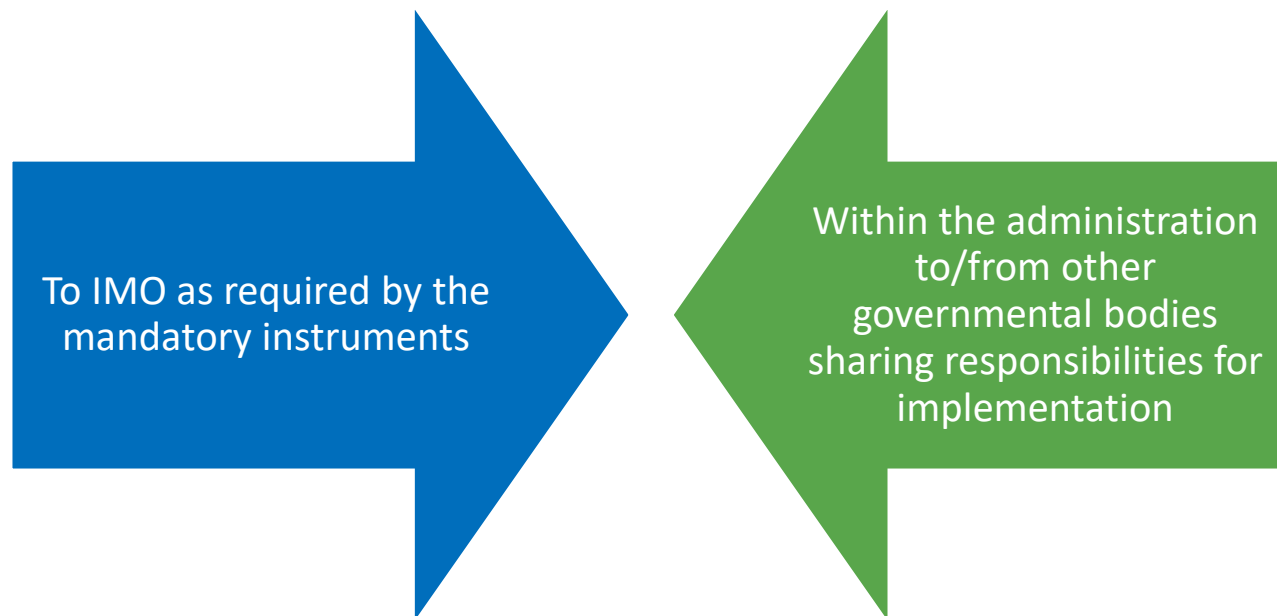
- Ability to promulgate laws
- Legal basis for registering ships, inspections of ships

### Enforcement

- Legal basis for enforcement
- Associated investigative and penal processes

### Staff

- Availability of sufficient personnel with maritime expertise to discharge all responsibilities



List of reporting obligations:

Res. A. 1141 (31) non-exhaustive list of obligations under III Code

# Records

## Paragraph 10



Must be established and maintained



Readily identifiable and retrievable

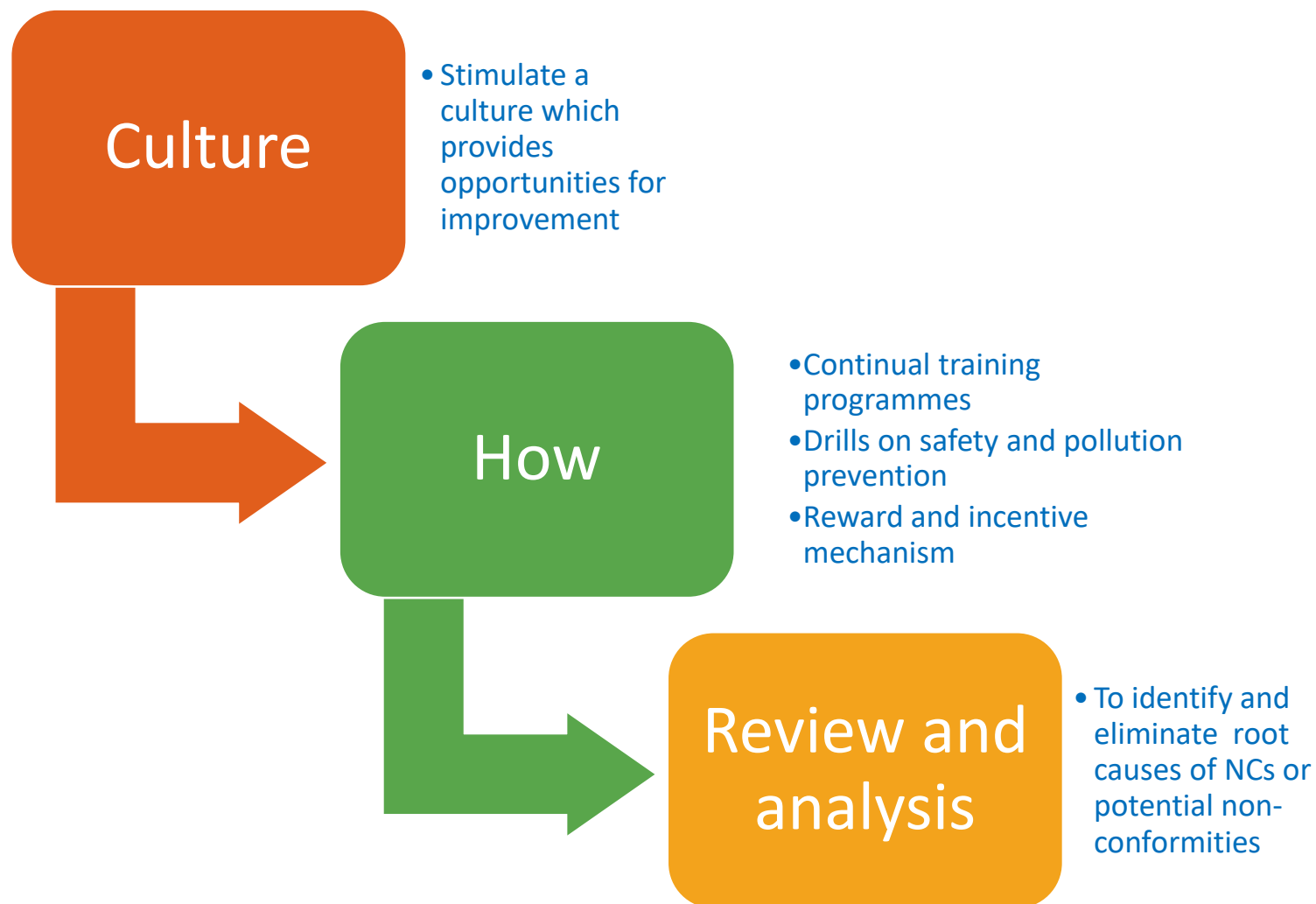


Documented procedure established for identification, storage, protection, retrieval, retention time and disposition of records



# Improvements

## Paragraph 11-14





## Part 1 of the III Code

- Objective
- Strategy
- General
- Scope
- Initial actions
- Communication of information
- Records
- Improvement



 [twitter.com/emsa\\_lisbon](https://twitter.com/emsa_lisbon)  
 [facebook.com/emsa.lisbon](https://facebook.com/emsa.lisbon)

