

EUROPEAN MARITIME SAFETY AGENCY

Workshop on Capacity Building activities

Department B: Safety & Standards
Unit B.3 Environment & Capacity
Building

Lisbon / 26-27 March 2019



Background information

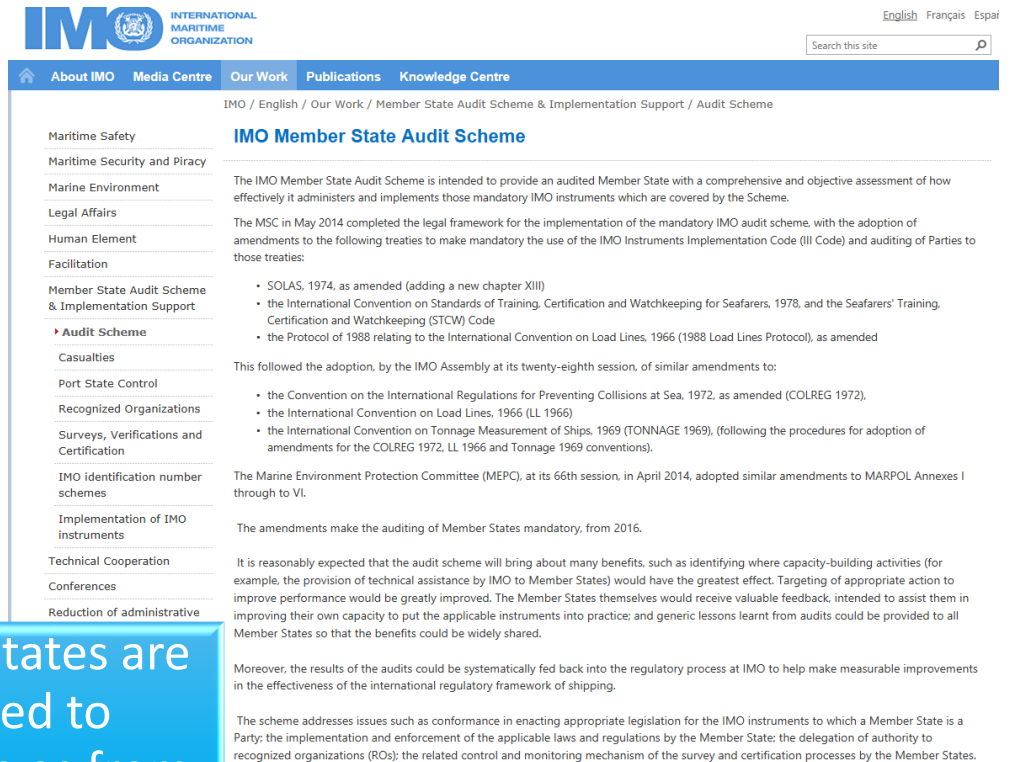


Resolution A.1067(28), annex, part II

MS are to be audited
at periodic intervals
not exceeding 7 years

Based on an overall
schedule developed
by IMO

Member States are
expected to
commence as from
October 2020.



The screenshot shows the IMO Member State Audit Scheme webpage. The header includes the IMO logo and navigation links. The main content area is titled "IMO Member State Audit Scheme" and provides an overview of the scheme, its purpose, and the legal framework. It lists the treaties that the scheme is based on, including SOLAS, the International Convention on Standards of Training, Certification and Watchkeeping for Seafarers, and the Protocol of 1988 relating to the International Convention on Load Lines. It also mentions the adoption of the scheme by the IMO Assembly in 2016 and the expected commencement of audits in October 2020.

English Français Español

Search this site

IMO / English / Our Work / Member State Audit Scheme & Implementation Support / Audit Scheme

IMO Member State Audit Scheme

The IMO Member State Audit Scheme is intended to provide an audited Member State with a comprehensive and objective assessment of how effectively it administers and implements those mandatory IMO instruments which are covered by the Scheme.

The MSC in May 2014 completed the legal framework for the implementation of the mandatory IMO audit scheme, with the adoption of amendments to the following treaties to make mandatory the use of the IMO Instruments Implementation Code (IIC Code) and auditing of Parties to those treaties:

- SOLAS, 1974, as amended (adding a new chapter XIII)
- the International Convention on Standards of Training, Certification and Watchkeeping for Seafarers, 1978, and the Seafarers' Training, Certification and Watchkeeping (STCW) Code
- the Protocol of 1988 relating to the International Convention on Load Lines, 1966 (1988 Load Lines Protocol), as amended

This followed the adoption, by the IMO Assembly at its twenty-eighth session, of similar amendments to:

- the Convention on the International Regulations for Preventing Collisions at Sea, 1972, as amended (COLREG 1972),
- the International Convention on Load Lines, 1966 (LL 1966)
- the International Convention on Tonnage Measurement of Ships, 1969 (TONNAGE 1969), (following the procedures for adoption of amendments for the COLREG 1972, LL 1966 and Tonnage 1969 conventions).

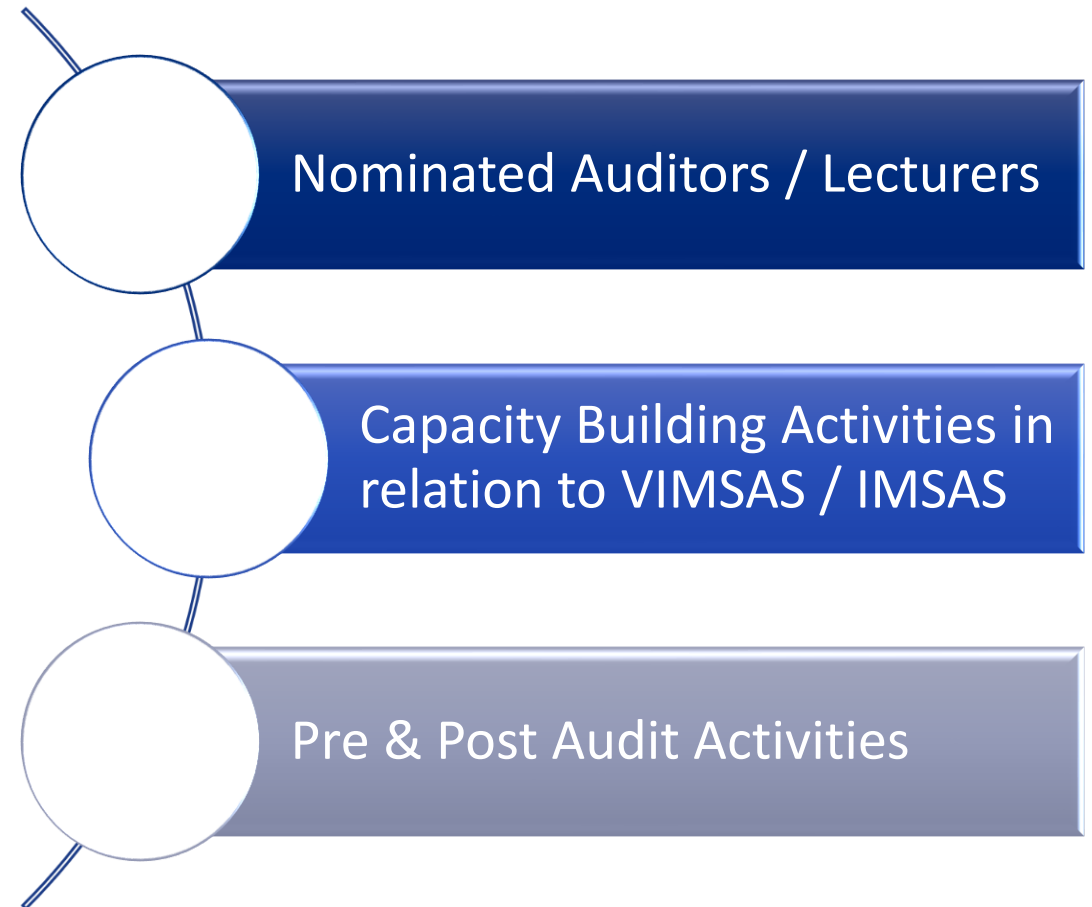
The Marine Environment Protection Committee (MEPC), at its 66th session, in April 2014, adopted similar amendments to MARPOL Annexes I through to VI.

The amendments make the auditing of Member States mandatory, from 2016.

It is reasonably expected that the audit scheme will bring about many benefits, such as identifying where capacity-building activities (for example, the provision of technical assistance by IMO to Member States) would have the greatest effect. Targeting of appropriate action to improve performance would be greatly improved. The Member States themselves would receive valuable feedback, intended to assist them in improving their own capacity to put the applicable instruments into practice; and generic lessons learnt from audits could be provided to all Member States so that the benefits could be widely shared.

Moreover, the results of the audits could be systematically fed back into the regulatory process at IMO to help make measurable improvements in the effectiveness of the international regulatory framework of shipping.

The scheme addresses issues such as conformance in enacting appropriate legislation for the IMO instruments to which a Member State is a Party; the implementation and enforcement of the applicable laws and regulations by the Member State; the delegation of authority to recognized organizations (ROs); the related control and monitoring mechanism of the survey and certification processes by the Member States.



How can EMSA help MSs



Develop a 3 days course

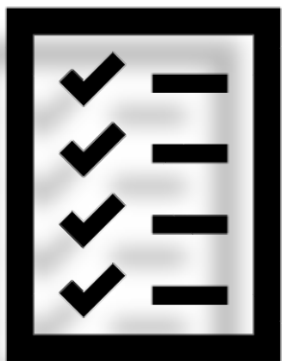
Deliver in house / national level

One year to six months in advance
before the confirmed date of the audit.



Theme I: Background and latest developments, Framework and Procedures for the Audit Scheme (Part I)

Theme II: Overview of functions and responsibilities, covering all the provisions of the III Code and the obligations deriving from the Conventions



Theme III: Preparation for the Audit in line with the provisions of the Framework and Procedures for the Audit Scheme (Part II) covering areas such as:

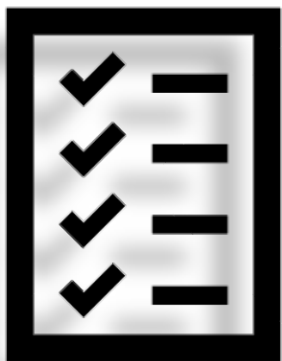
Identifying the authorities to be involved to the audit

Preparing the pre-audit questionnaire

Audit planning

Preparatory (mock audit)

Lessons learned from the analysis of consolidated audit summary report prepared by IMO



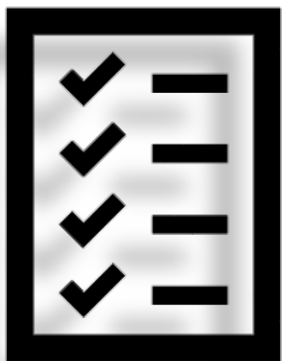
Theme IV: During the audit in line with the provisions of the Framework and Procedures for the Audit Scheme (Part II) covering areas such as:

Assistance offered by the Member State

Opening meeting

Findings and non-conformities – Liaising with audit team

Closing meeting – Assessing the Interim Report



Theme V: Post Audit in line with the provisions of the Framework and Procedures for the Audit Scheme (Part II) covering areas such as:

Assessing the final report

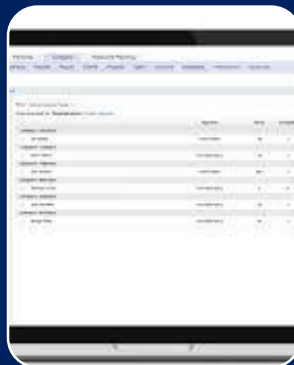
Preparation of the Corrective Action Plan

Implementation of the Corrective Action

Plan (looking also at synergies from

EMSA's visits and relevant Horizontal

Analysis results)



Comment on the added value of the proposed training



Comment on the proposed content



Further comments? Thank you

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