

IMO MEMBER STATE AUDIT SCHEME

SAFEMED IV Training on the implementation of the III Code

STRATEGY – PART 1

What is an "Overall Strategy" for a Maritime Administration?



Definition of Strategy

- The Art of War
- The management of an Army during a campaign
- A plan of action or policy

What is "Strategy"?

- A strategy is an effective mechanism for the State to evaluate its effectiveness in meeting its international obligations under the relevant IMO Conventions.
- An overall "policy" is not a "mechanism" but a "statement of intent"
- Without a strategy:
 - Roles and responsibilities of various agencies may not be clear
 - In certain instances, may result in non-compliance or non-timely implementation of instruments

Strategy

III Code, part 1, para. 3

In order to meet the objective of this Code, a State is recommended to:

1. Develop an overall strategy to ensure that its international obligations and responsibilities as a flag, port and coastal State are met;
2. establish a methodology to monitor and assess that the strategy ensures effective implementation and enforcement of relevant international mandatory instruments; and
3. Continuously review the strategy to achieve, maintain and improve the overall organizational performance and capability.

In implementing the aforementioned strategy, the guidance given in the III Code should be adhered to.

Experiences

Too many strategies?

- 10 year strategy on climate change
- 5 year strategy waste disposal
- 6 year strategy for growing the fleet
- Strategies for everything?

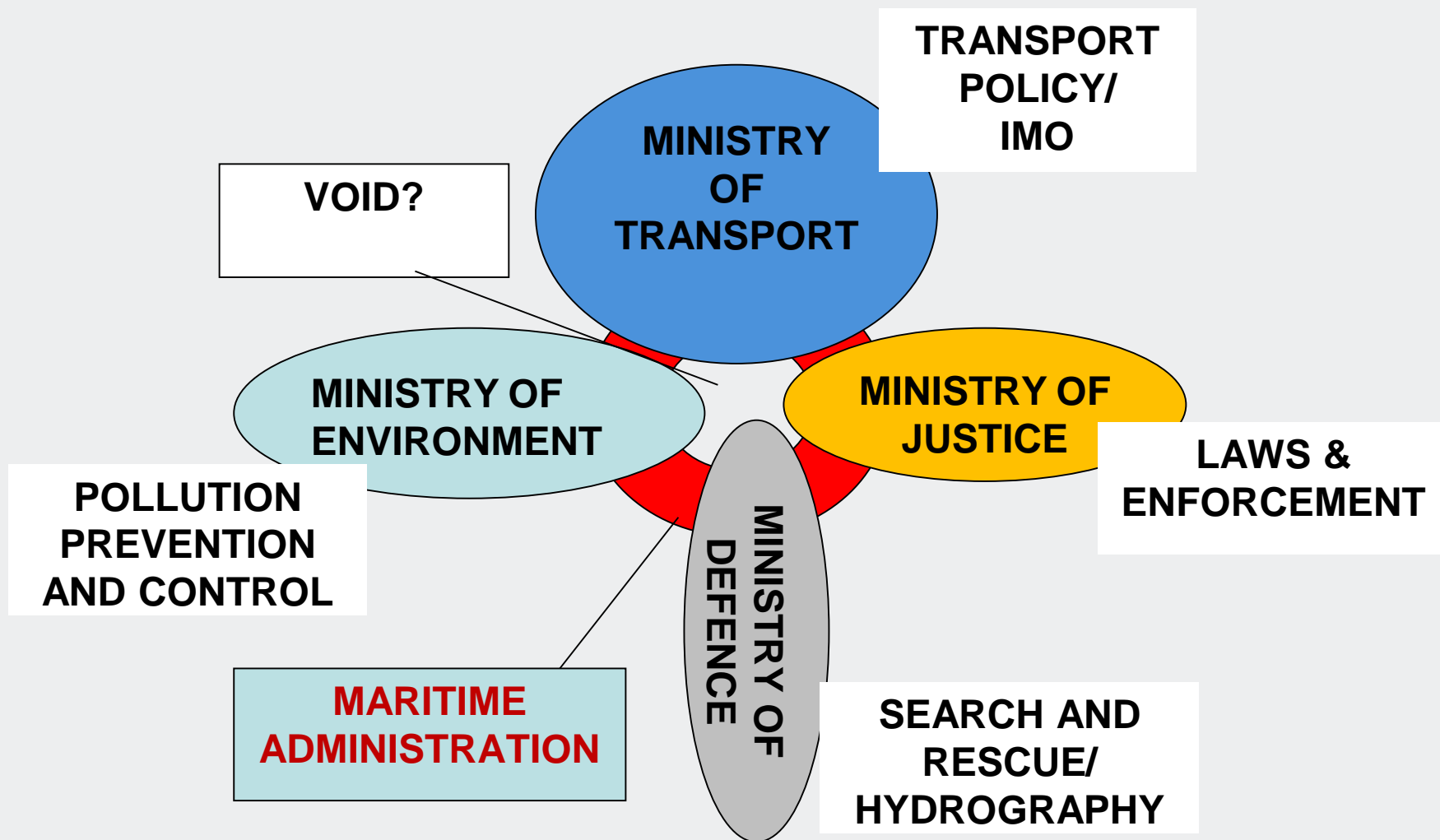
What's the problem?

- The III Code
 - Draws together a number of existing resolutions, but goes further
 - Res A.847(20) Guidelines to assist flag States (*revoked*)
- Administrations have evolved
 - Not set up as a corporate entity
- Concept of continual review not universally accepted

What's the result?

- Concept of a corporate entity as Maritime Administration not apparent;
- Treaty Obligations, spread over several entities, Ministries, Agencies, etc.;
- Turf war is evident;
- Concept of audit and review not fully accepted
 - Lack of experience of this or culture.

Existing Norm for Participating State Entities in A Maritime Administration



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