

Recognized Organizations

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Unit B.1: Safety assessments and inspections



Contents of presentation

- **What is a Recognized Organization (RO)?**
- **Legal framework: Directive and Regulation**
- **EMSA inspections of ROs**

Recognized Organizations

- **SOLAS (Safety Of Life At Sea), MARPOL, Load Line is IMO legislation:**
 - **Flag States**
 - **are responsible for fleet under it's flag**
 - **have to carry out inspections required to verify that ships comply with relevant conventions**
 - **May delegate inspections to ROs**

IMO Resolution A.739(18)

- **“Guidelines for the authorization of organizations acting on behalf of the administration”**
- **Main elements:**
 - **Adequate resources**
 - **Agreement**
 - **Verification and monitoring**
 - **Minimum standards**
 - **Rules,**
 - **Quality system**

Legal framework in EU

Directive 2009/15/EC of 23 April 2009,

“on common rules and standards for ship inspection and survey organizations and for the relevant activities of maritime administrations”

Regulation (EC) No 391/2009 of 23 April 2009

“on common rules and standards for ship inspection and survey organizations”

Directive 2009/15/EC

Main provisions:

- **Article 3: Member State (MS) can authorize only RO's**
- **Article 5: 'working relationship'**
 - Liability provisions
 - Audit by MS
 - Reporting
- **Article 8:**
 - Suspension and withdrawal of authorisation
- **Article 9: MS shall monitor RO (biennial)**

Regulation (EC) No 391/2009

Main provisions (1):

- MS may only authorize ROs
- RO has to comply with Criteria of Annex
- ROs have to set up an entity
- COM can:
 - impose fines and penalty payments
 - Withdraw recognition in specified cases
- COM shall:
 - Assess the ROs every two years by visits to offices, inspection of ships

Regulation (EC) No 391/2009

Main provisions (2):

- **RO's shall:**
 - **Cooperate in PSC**
 - **Provide fleet data**
 - **Carry out transfer of class according to specific requirements**
- **Annex to Regulation: Minimum criteria for ROs**

Regulation (EC) No 391/2009, Annex

- **A1 – legal personality**
- **A2 – experience in ship construction**
- **A3 – sufficient staff to serve it's fleet**
- **A4 – Rules, published and upgraded through R&D**
- **A5 – Register**
- **A6 – independence of RO**
- **A7 – operate in accordance with Res. A.789(19) (qualifications)**

Regulation (EC) No 391/2009, Annex

- **B1 – Worldwide coverage**
- **B2 – Code of Ethics**
- **B3 – confidentiality**
- **B4 – provision of information**
- **B5 – intellectual property rights**
- **B6 – Quality policy, objectives, targets and indicators**

Regulation (EC) No 391/2009, Annex

B7 – RO must ensure that:

- **(a) Rules are maintained**
- **(b) + (c) Rules and statutory requirements are complied with and a measurement system is in place**
- **(d) responsibilities and authorities are defined**
- **(e) all work is carried out under controlled conditions**
- **(f) work by surveyors and staff is monitored**
- **(g) surveyors have extensive knowledge**

Regulation (EC) No 391/2009, Annex

B7 – RO must ensure that:

- (h) a system for qualifications and knowledge update of surveyors is in place
- (i) achievement of the required standard is documented
- (j) internal audits are planned and documented
- (k) surveys are carried out in accordance with IMO Res. A.997(25)
- (l) clear lines of responsibilities between offices and with surveyors are established

Regulation (EC) No 391/2009, Annex

- **B8 – RO must have a quality system (ISO 9001:2000 and ISO 17020)**
- **B9 – RO must be able to issue objective declaration on safety of ships based on own direct knowledge**
- **B10 – RO must be able to carry out ISM certification**
- **B11 – RO must allow administrations to participate in rule making**

Recognized Organizations (12)

ABS (Houston)

BV (Paris)

CCS (Beijing)

DNV (Oslo)

GL (Hamburg)

KR (Daejeon)

LR (London)

ClassNK (Tokyo)

RINA (Genoa)

RMRS (St. Petersburg)

PRS (Gdansk)

RINAVE (Lisbon)

EMSA's Role in the enforcement

- **Assessments - at least once every two years by COM (+MS)**
- **EMSA is inspecting, focus on two aspects:**
 - **documents**
 - **implementation of the requirements**
- **EMSA reports to COM**
- **COM does assessment**