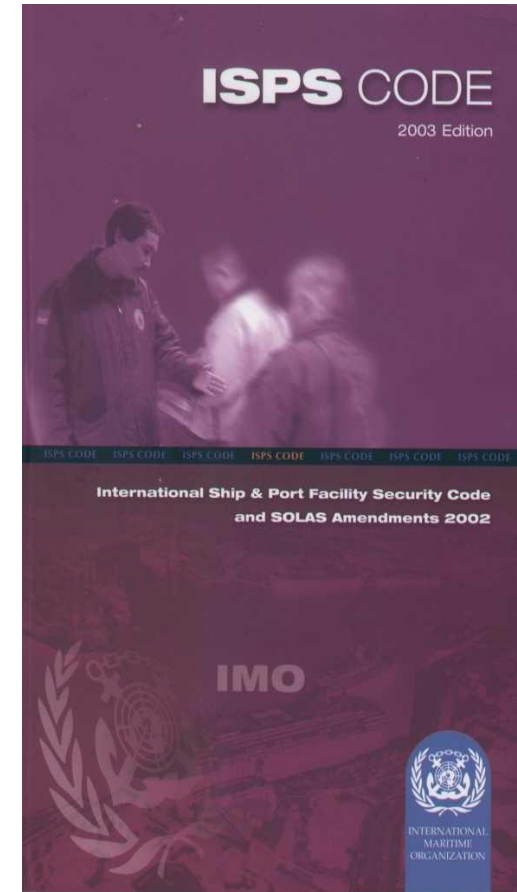
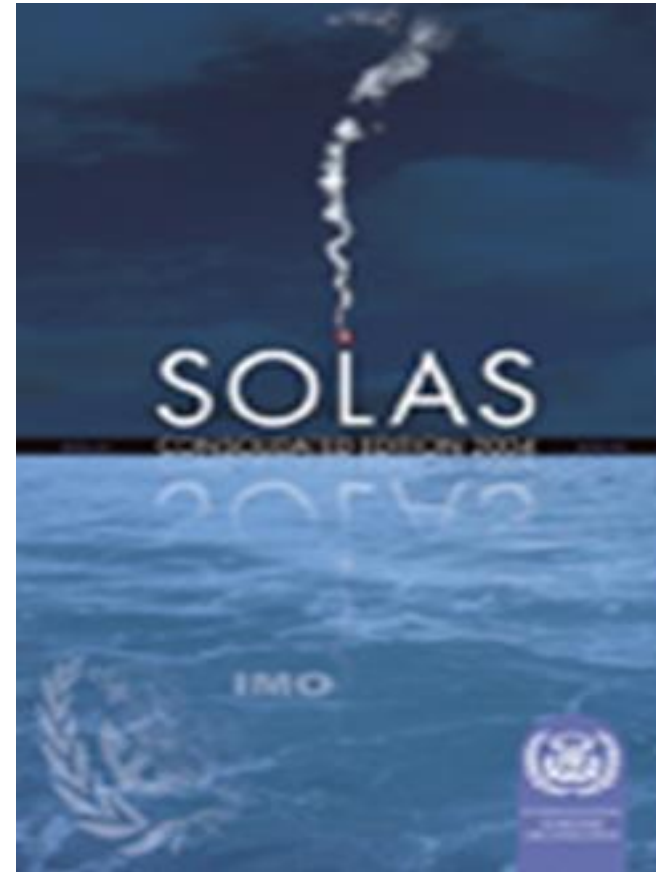


Security Responsibilities of the Governments and their National Authorities

EMSA 24 and 25 November 2021

SOLAS Chapter XI-2 and ISPS Code

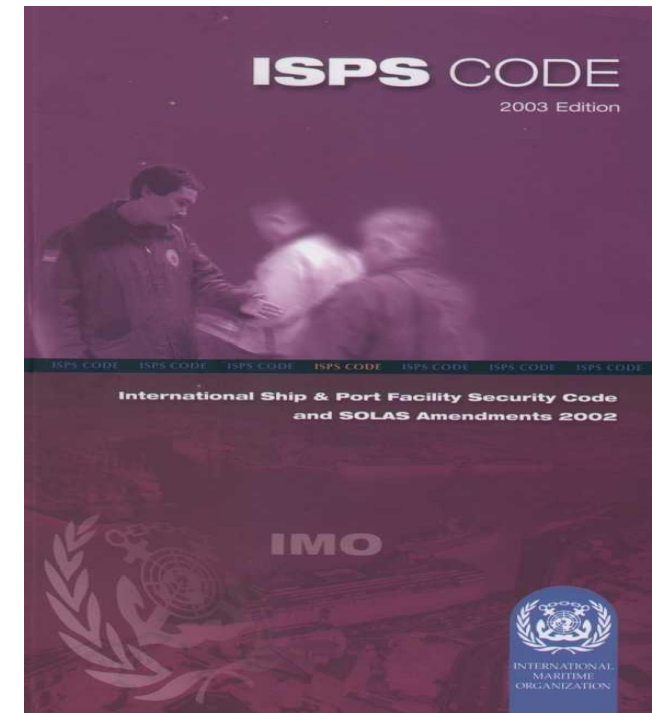
- General obligations
- Flag State Obligations
- Port State Obligations
- Coastal State Obligations



Obligation of the Port State/Designated Authority

Main roles of Designated Authority:

- Designation
- Approval
- Guidance
- Certification



Designating Port Facilities (1/2)

- Designated Authority is required to:
 - Identify port facilities used by SOLAS ships
 - Determine if the port facility must appoint a PFSO & submit a PFSP
 - Identify extent of the ISPS Code's application to **occasional use** port facilities **without an appointed PFSO**.



Designating Port Facilities (2/2)

- Designated Authorities have wide discretion in making determination – usually based on:
 - Frequency of use
 - Security risk of ships using port facility
 - Proximity to populated areas



Port Facility Security Personnel

- Maintaining register of PFSOs
- Duties of PFSOs
- Training requirements
- Background security vetting of PFSO
- Guidance to PFSOs



Responsibilities for PFSA

- Ensuring that PFSA is undertaken at each designated port facility;
- Deciding who conducts, reviews & approves them;
- Identifying experience & training requirements;
- Determining review frequency;
- Issuing guidance on methodology;
- As a basis for developing Port Facility Security Plans.

Responsibilities for PFSPs

- Ensuring one is developed at each designated port facility;
- Issuing guidance on topics to be included in PFSPs
- Establishing procedures for PFSP
 - preparation, submission, review,
 - approval & amendment
- What amendments must be approved.

Need for PFSP Amendment

- Amendments should be submitted after:
 - Major security drills or exercises
 - Security threats or incidents
 - Changes in shipping operations
 - Changes in ownership
 - PFSA updates
 - Inspections by Designated Authority
 - Minimum update frequency (set by DA)

PFSP Guidance Topics

- Declaration of Security (DOS) procedures
- Reporting security incidents
- National standards for security equipment
- Use of firearms near hazardous materials
- Internal audit procedures

Security Records

- Records that port facilities should retain include:
 - Declarations of Security
 - Security threats or incidents
 - Changes in Security level
 - Security training by facility personnel
 - Security drills & exercises
 - Maintenance of security equipment

Statement of Compliance

- Not a mandatory requirement
- Maximum validity period
- Conditions of issuance include:
 - Approved PFSA & PFSP
 - Facility personnel have the necessary training to implement the PFSP
 - Security equipment is in place & operating effectively

Form of a Statement of Compliance of a Port Facility

STATEMENT OF COMPLIANCE OF A PORT FACILITY

(Official seal) (State)

Statement Number

Issued under the provisions of Part B of the
INTERNATIONAL CODE FOR THE SECURITY OF SHIPS AND OF PORT
FACILITIES (ISPS CODE)

The Government of _____
(name of the State)

Name of the Port Facility : _____
Address of the Port Facility : _____

THIS IS TO CERTIFY that the compliance of this port facility with the provisions of chapter XI-2 and part A of the International Code for the Security of Ships and of Port Facilities (ISPS Code) has been verified and that this port facility operates in accordance with the approved Port Facility Security Plan. This plan has been approved for the following <specify the types of operations, types of ship or activities or other relevant information> (delete as appropriate):

Passenger ship
Passenger high speed craft
Cargo high speed craft
Bulk carrier
Oil tanker
Chemical tanker
Gas carrier
Mobile offshore Drilling Units
Cargo ships other than those referred to above

This Statement of Compliance is valid until _____, subject to verifications (as indicated overleaf)

Issued at _____
(place of issue of the statement)

Date of issue _____
(Signature of the duly authorized official issuing the document)

(Seal or stamp of issuing authority, as appropriate)

Any Question

